



Kinetic modelling of air plasmas

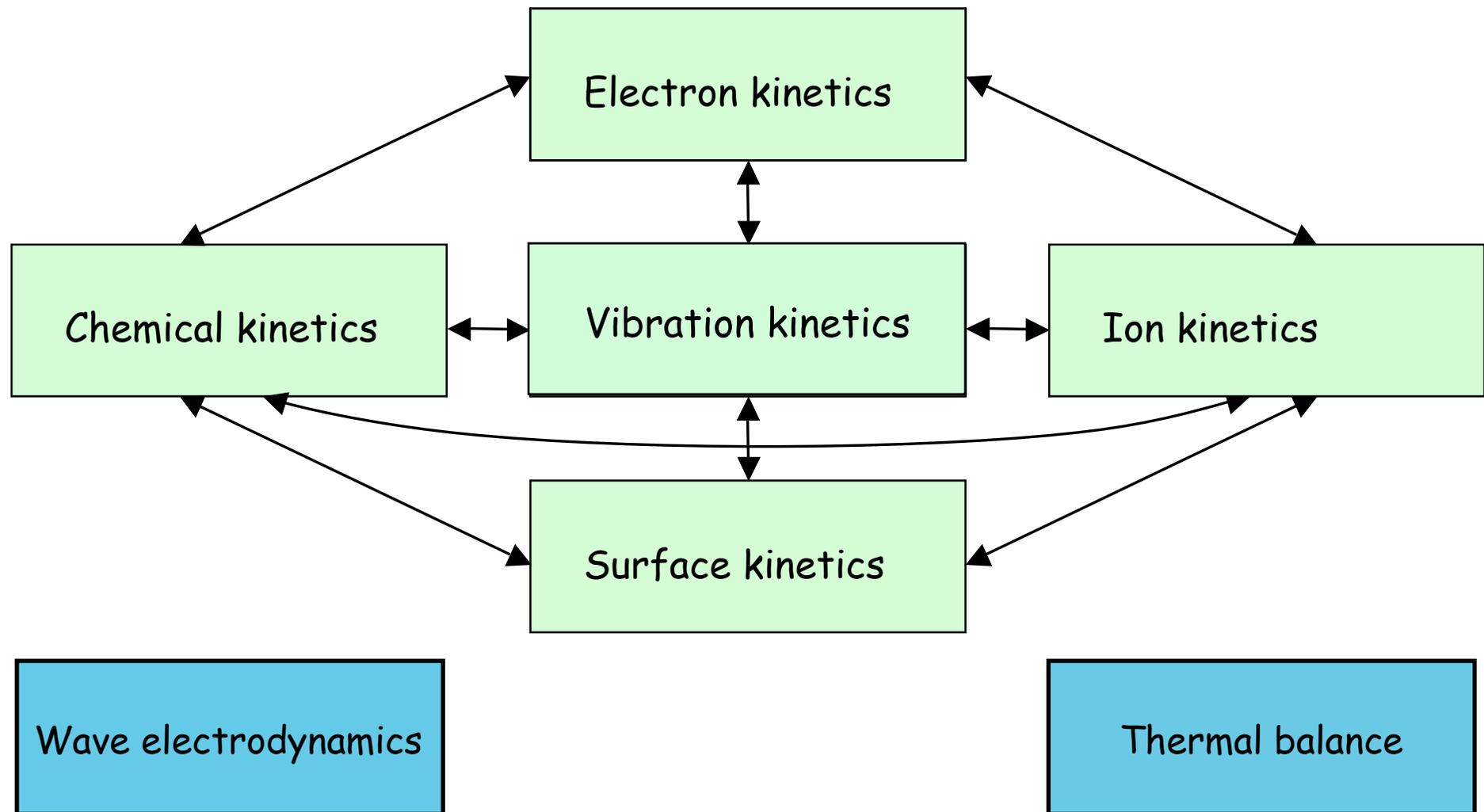
self-consistency, bottlenecks, successes

Vasco Guerra

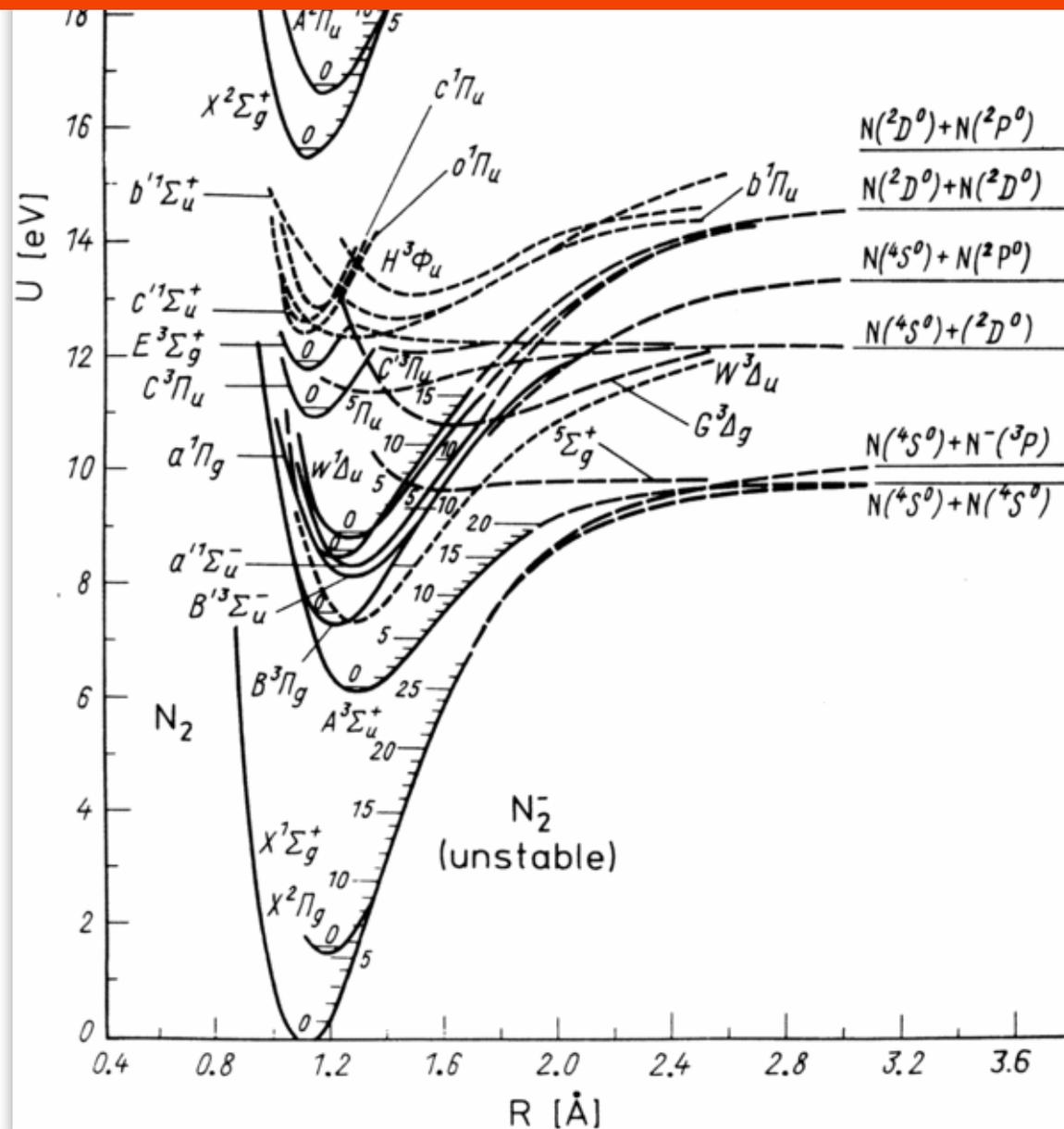
Instituto Superior Técnico, Instituto de Plasmas e Fusão Nuclear,
Universidade de Lisboa, Portugal

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Modeling of nonequilibrium molecular plasmas



The N₂ molecule



Kinetic modeling (N₂-O₂)

- Electron kinetics (electron Boltzmann equation)
- Vibrational kinetics of N₂(X, 0 ≤ v ≤ 60) and O₂(X, 0 ≤ v ≤ 47) molecules
- Chemical kinetics of
 - N₂(A, B, C, B', a', a, w, a'')
 - O₂(X, a, b)
 - N(⁴S, ²D, ²P), O(³P, ¹D)
 - O₃, O₃^{*}, NO(X, A, B), NO₂(X, A)
- Ion kinetics of N₂⁺, N₄⁺, O₂⁺, O⁺, NO⁺, O⁻

Self-consistent modeling

Input:

- Discharge operating parameters ($p, R, P-n_e-I, \omega$)
- Wall (and often gas) temperature
- Collisional data

Output:

- Electron Energy Distribution Function (EEDF)
- Vibrational Distribution Function (VDF)
- Concentration of excited states, radicals & ions
- (gas temperature)

Some lessons from the past

In collaboration with Jorge Loureiro

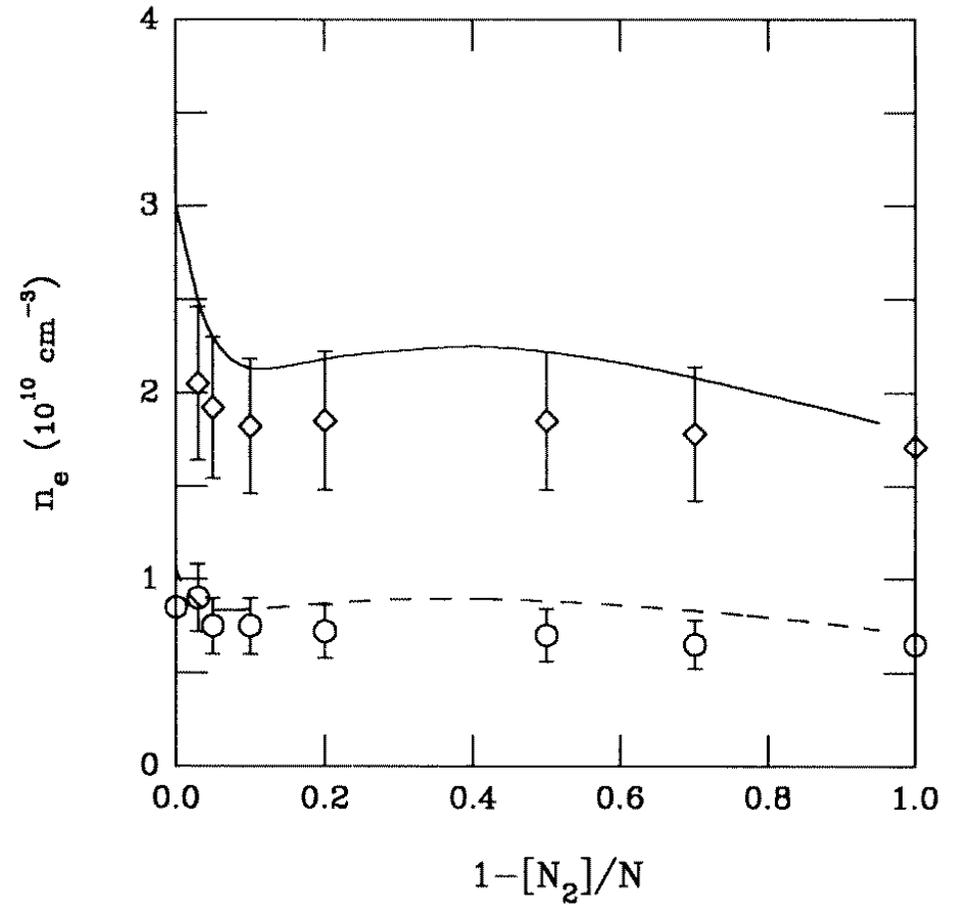
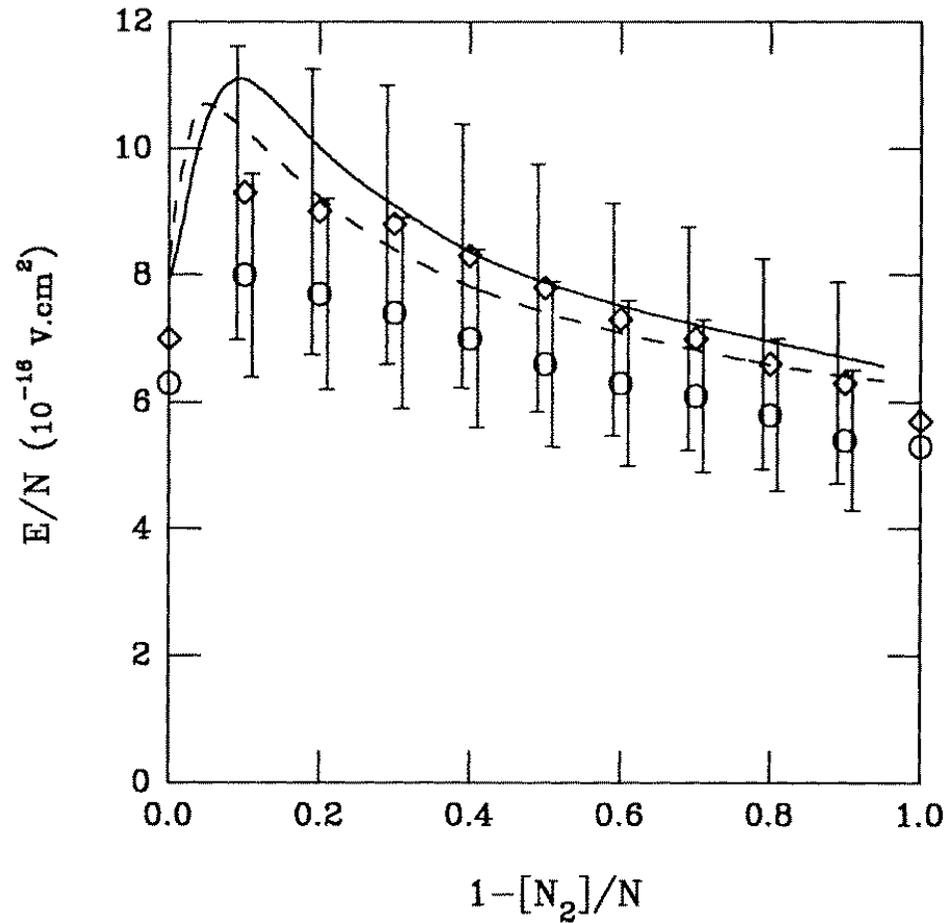
Plasma Sources Sci. Technol. **6** (1997) 373

Plasma Sources Sci. Technol. **8** (1999) 110

(...)

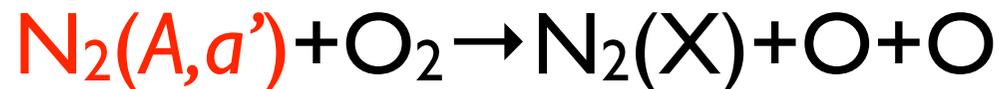
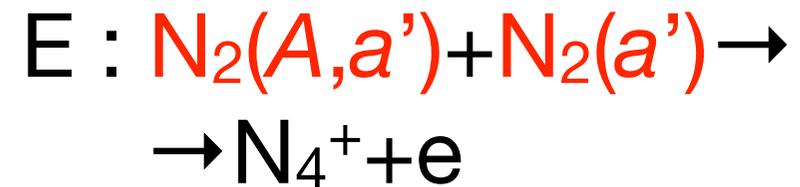
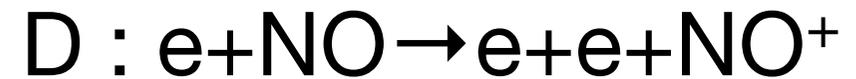
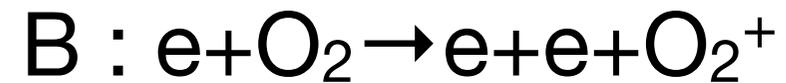
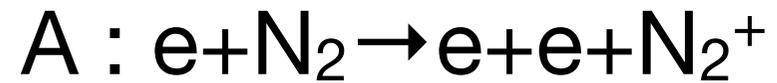
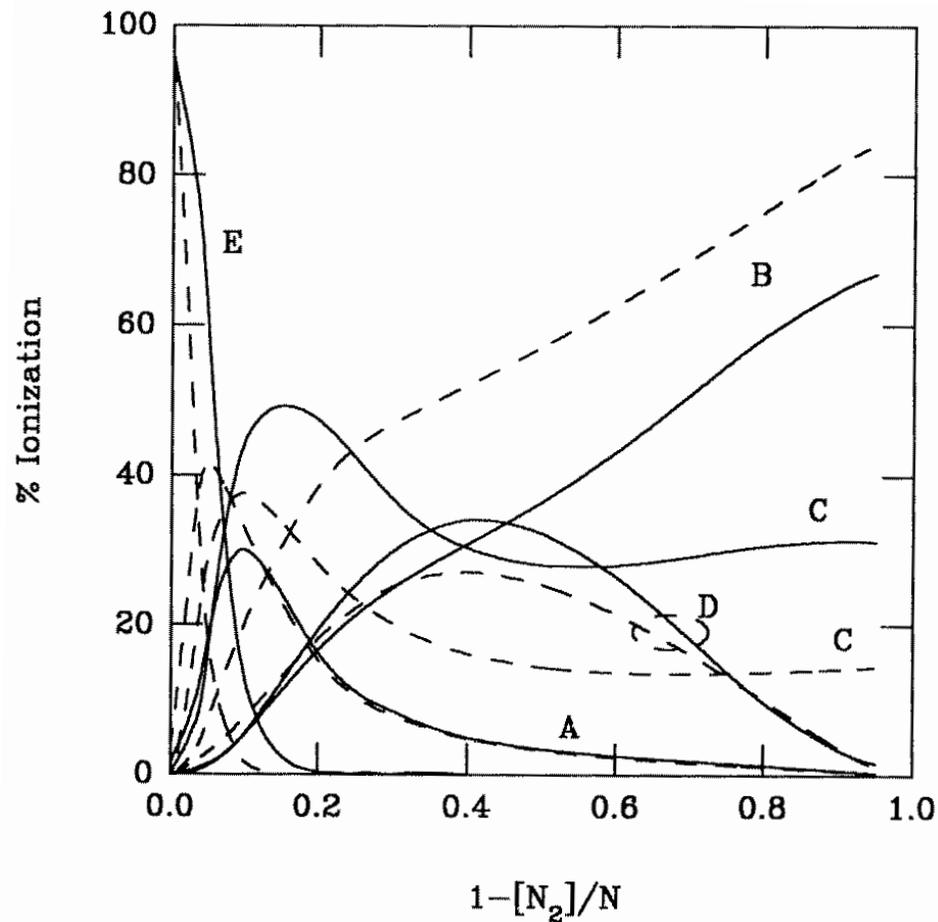
- Focus on ionisation, N, O and NO
- The pure gases are often more complex than the air mixture
- Surface processes may play an important role
- Strong coupling $N_2(X,v)$, NO, N, O, $N_2(A,B)$

Some lessons from the past

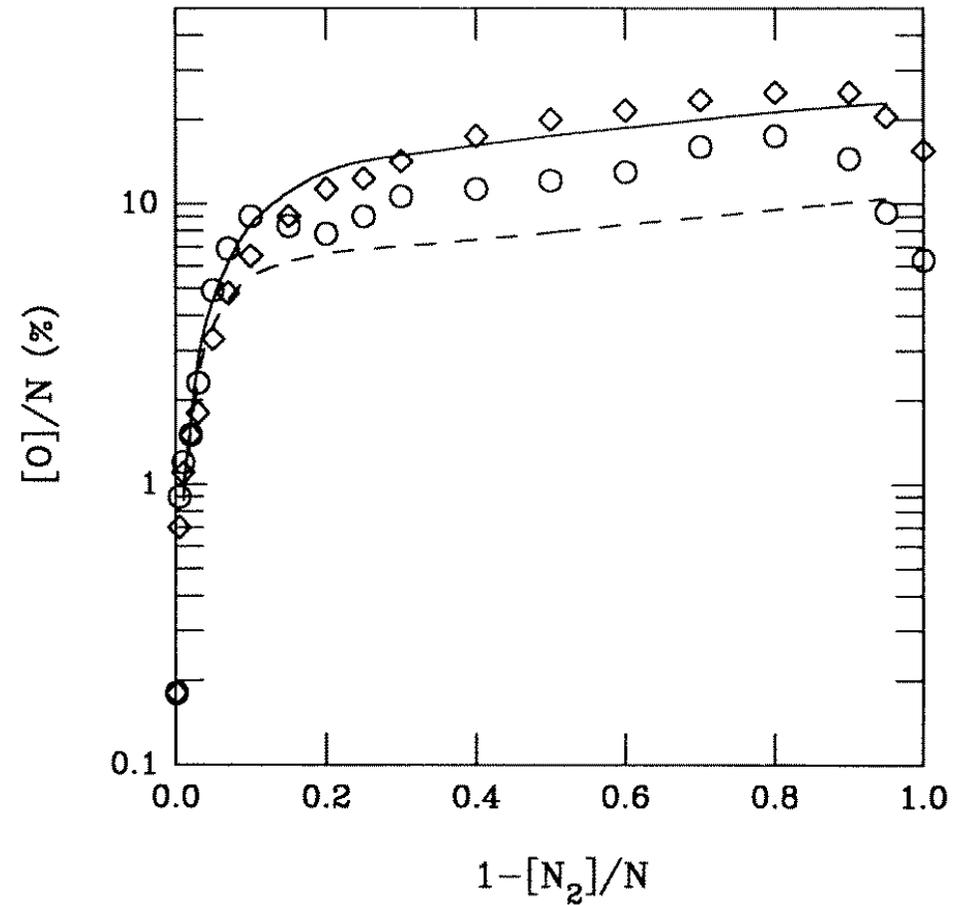
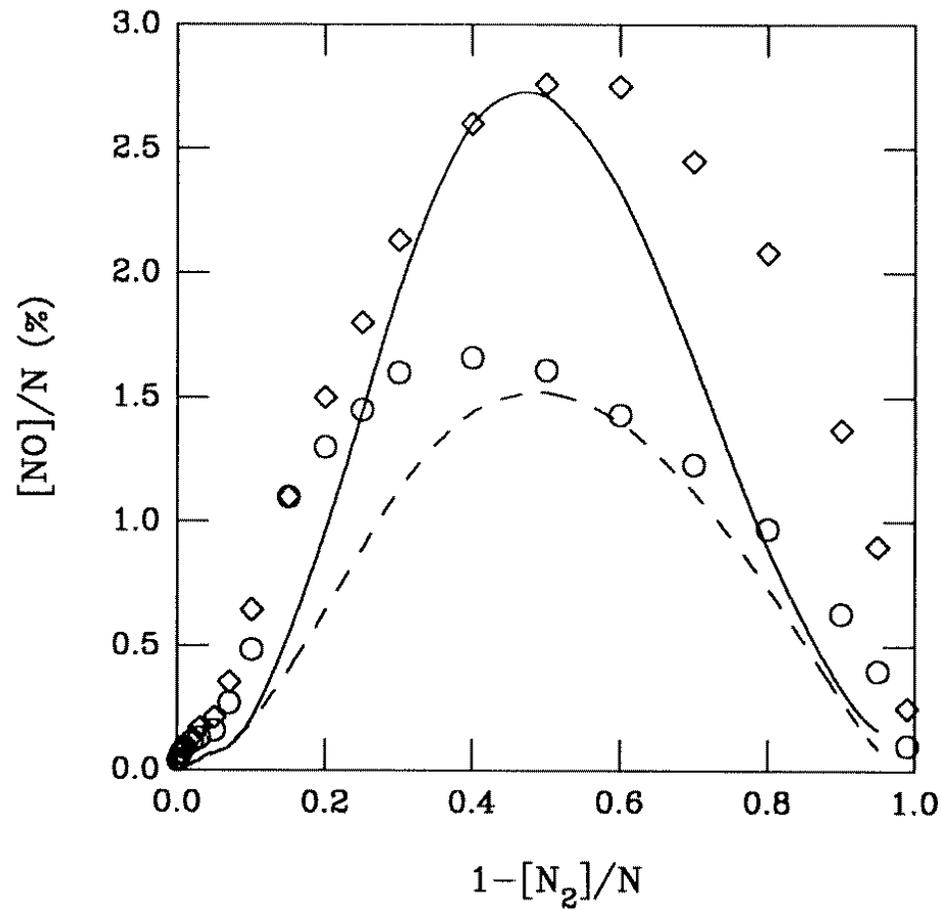


$p=2 \text{ Torr}, R=0.8 \text{ cm}, I=30 \text{ \& } 80 \text{ mA}$

Some lessons from the past



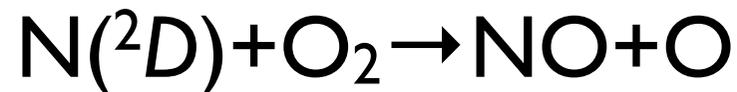
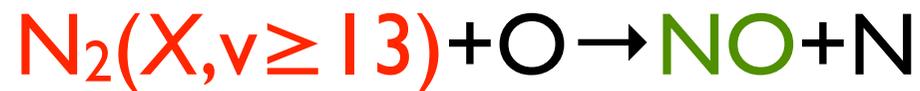
Some lessons from the past



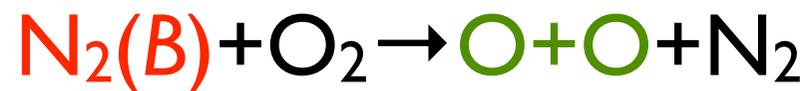
$p=2$ Torr, $R=0.8$ cm, $I=30$ & 80 mA

Some lessons from the past

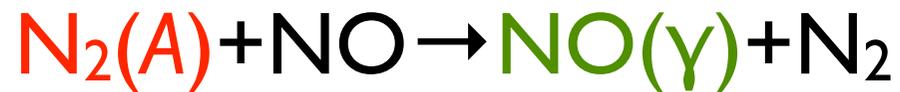
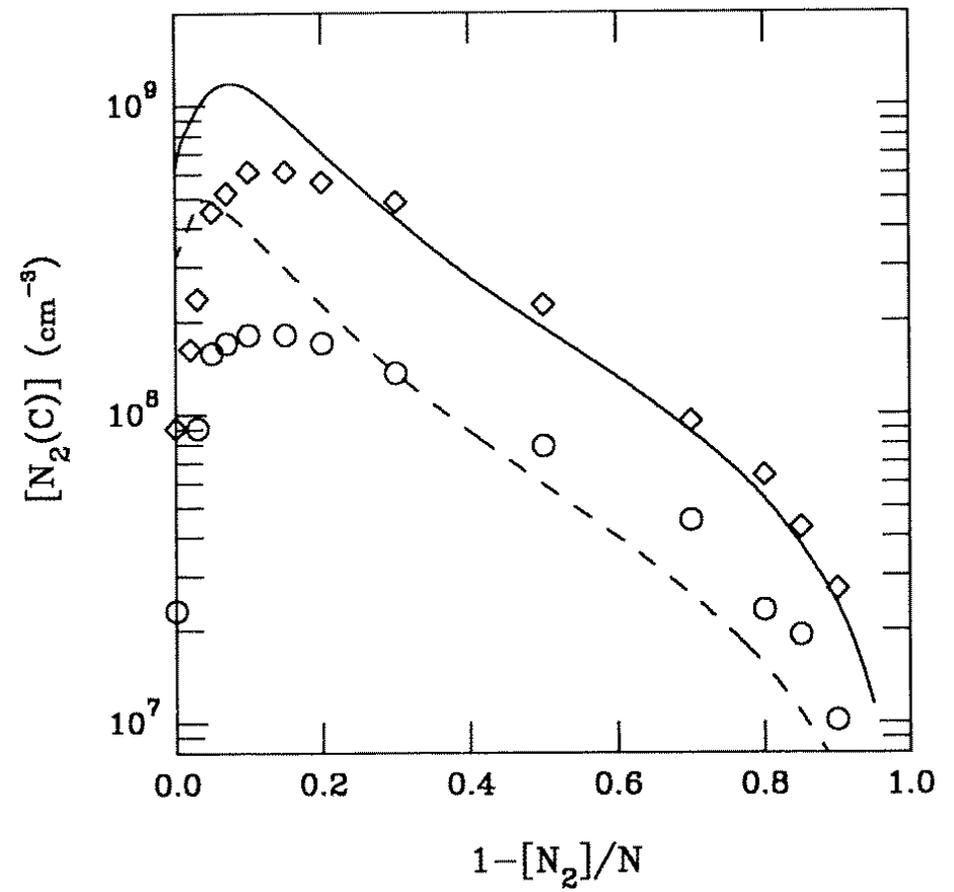
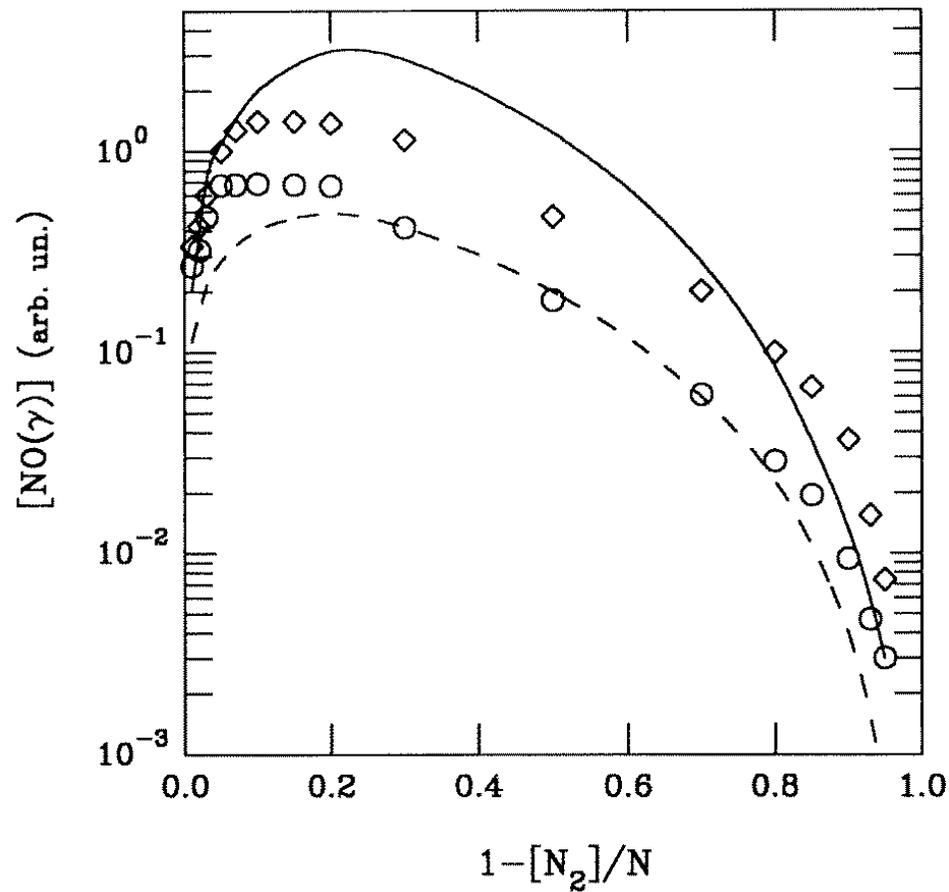
NO formation:



O formation:



Some lessons from the past



Successes #1

NO kinetics and gas heating in pulsed discharges

In collaboration with

Carlos D. Pintassilgo,¹ Olivier Guaitella² and
Antoine Rousseau¹

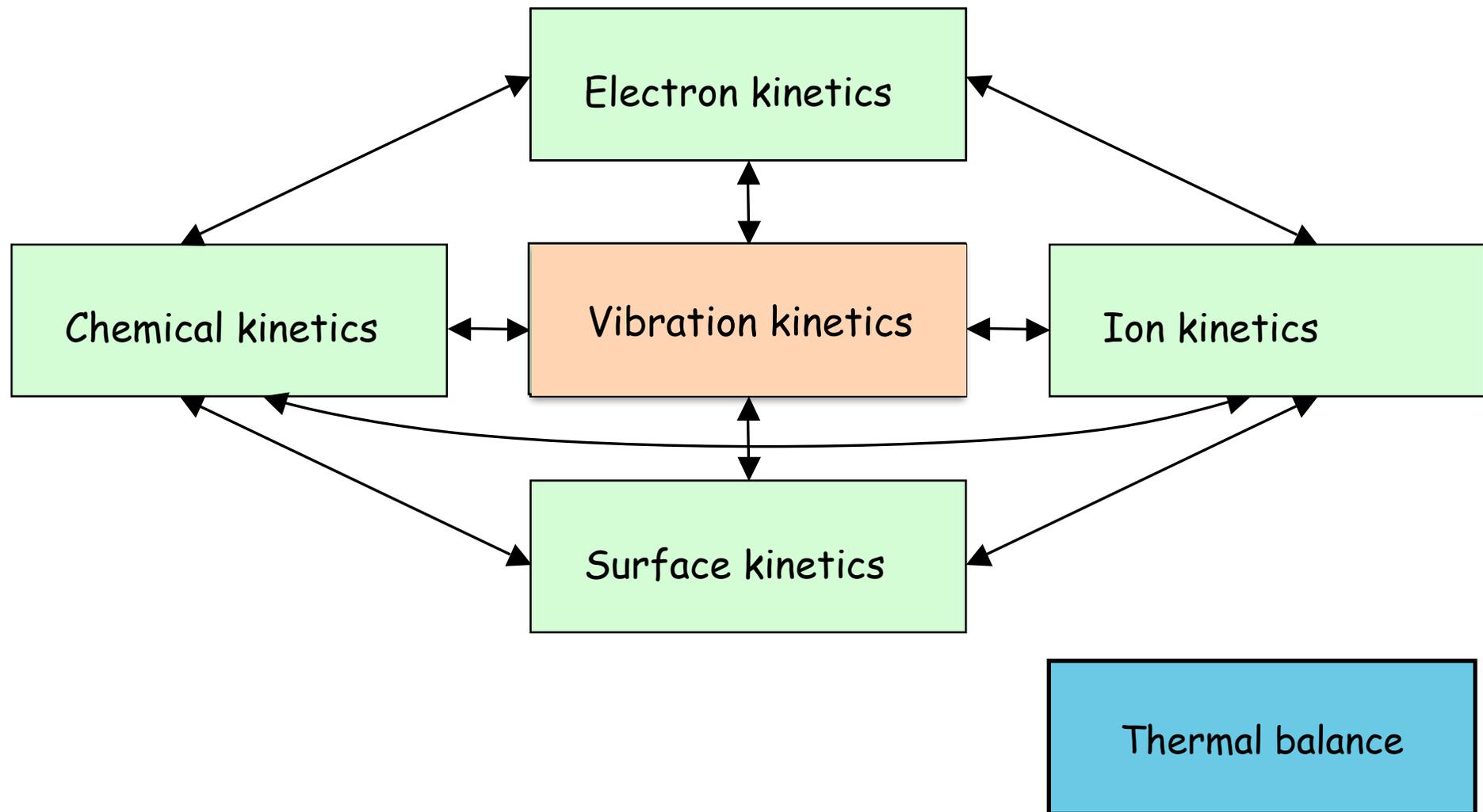
¹Faculdade de Engenharia, Universidade do Porto, Portugal

²Laboratoire de Physique des Plasmas, Ecole Polytechnique, France

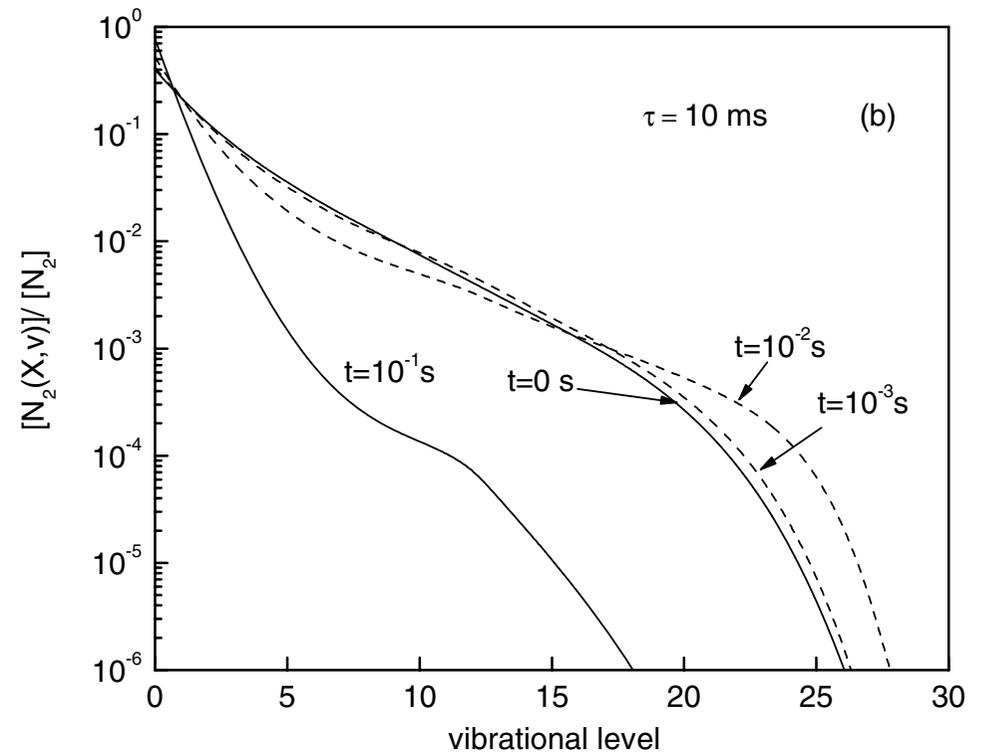
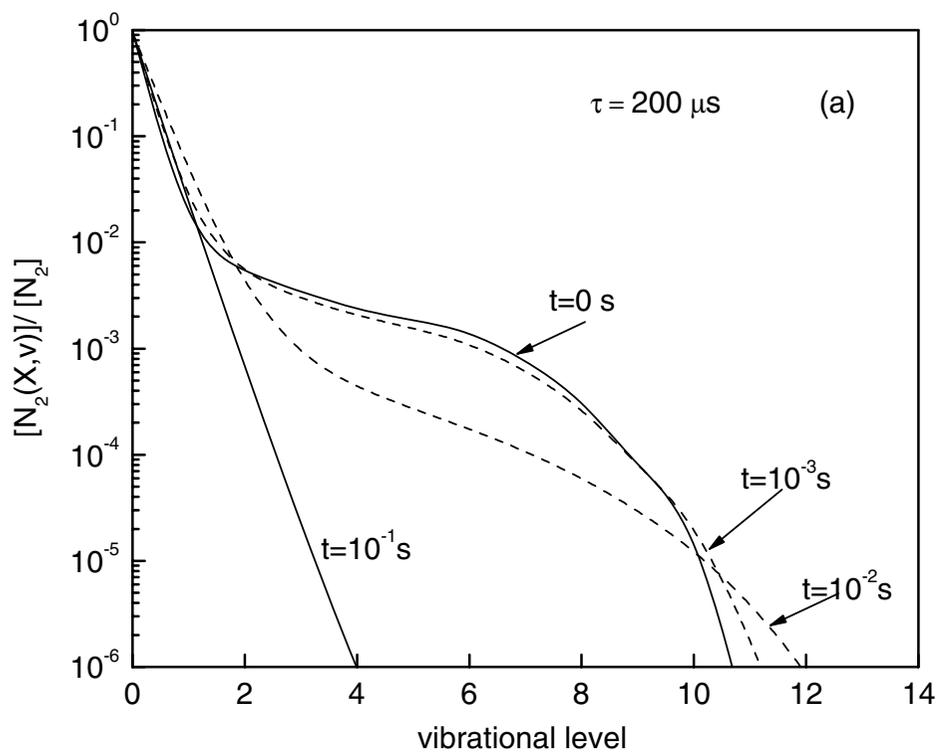
Plasma Sources Sci. Technol. **19** (2010) 055001

Plasma Sources Sci. Technol. **23** (2014) 025006

NO kinetics and gas heating in pulsed discharges

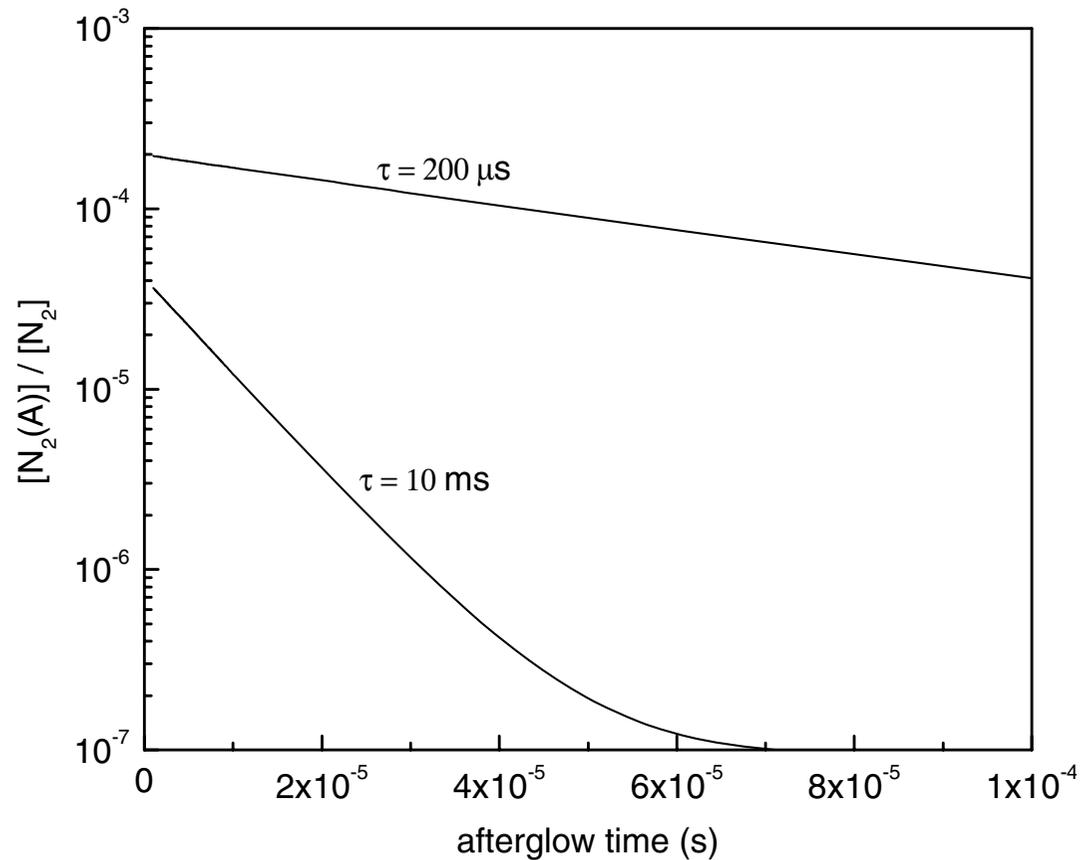


Vibrations need time to build up!



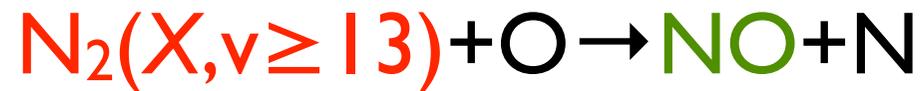
$p=1 \text{ Torr}, R=1.05 \text{ cm}, I=40 \text{ mA}$

Electron impact excitation is fast



NO formation

NO formation:

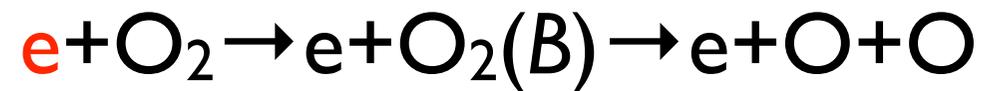


The first reaction gains importance:

- with the pulse length
- with the afterglow time

Gas heating

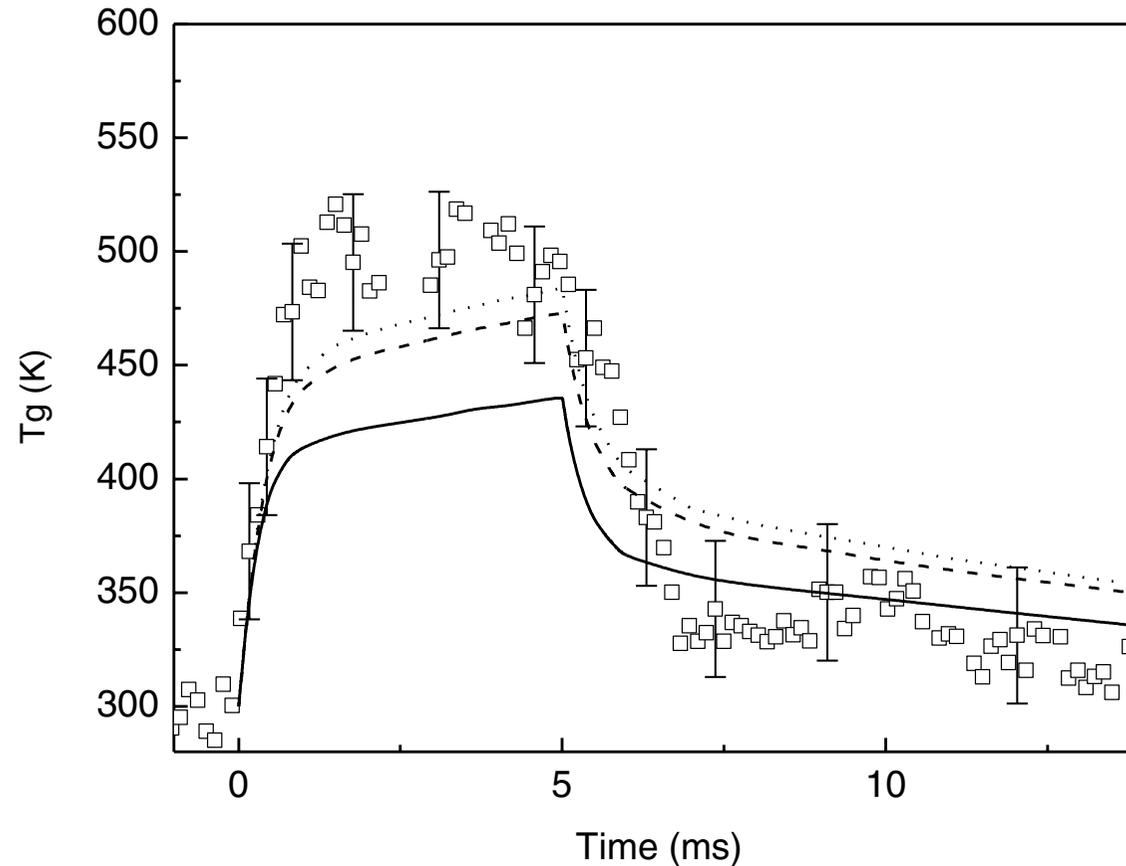
Early instants



Longer instants



Gas heating



$p=1$ Torr, $I=150$ mA, $\tau=5$ ms

Measurements by Hubner *et al*, *Meas. Sci. Technol.* **23** (2012) 115602

Successes #2

NO₂ formation on a Pyrex surface

In collaboration with

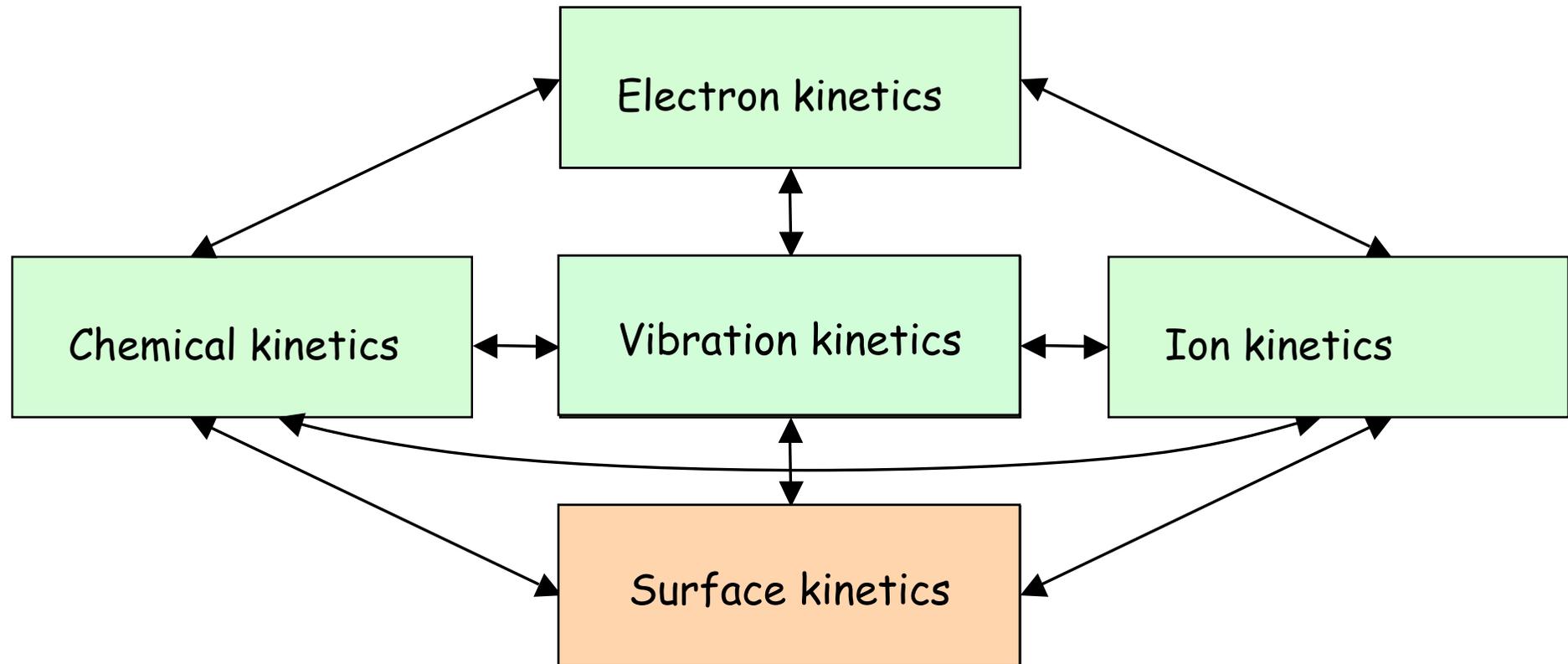
Daniil Marinov, Olivier Guaitella and Antoine
Rousseau

Laboratoire de Physique des Plasmas, Ecole Polytechnique, France

See the previous lecture, by Daniil Marinov!!!

J. Phys. D: Appl. Phys. **47** (2014) 224012

NO₂ formation on a Pyrex surface



Motivation

- Molecule formation on surfaces is a relevant issue
- NO, NO₂, N₂O, O₃,...

Major difficulties:

- Good control of the surface conditions
- Reproducibility
- “Unambiguous” interpretation

The garden of the forking paths



Purpose

- System that avoids the major difficulties already identified
- Demonstrate qualitatively and if possibly quantitatively heterogeneous molecule formation (NO oxidation into NO₂)
- Check for a possible distribution of reactivity of the adsorption sites

Experiment

- Pyrex tube, $R=1\text{ cm}$, $L=60\text{ cm}$
- Pre-treatment ($\sim 1\text{ h}$) with O_2
 - $[\text{O}_s] \sim 4 \times 10^{14}\text{ cm}^{-2}$
- Pumping ($\sim 10'$)
- “Probing”
 - Injection of NO (0.1-4 Torr)
 - Measurement of NO and NO_2 kinetics

D. Marinov, O. Guaitella, A. Rousseau and Y. Ionikh, *J. Phys. D: Appl. Phys.* (2010) 43 115203
D. Marinov, O. Guaitella, J. P. Booth and A. Rousseau, *J. Phys. D: Appl. Phys.* (2013) 46 032001

Modeling: surface descriptions

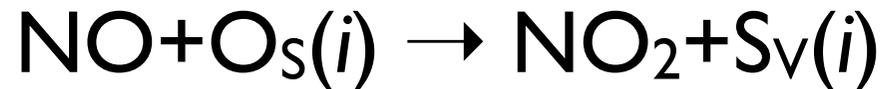
- Macroscopic approach (γ)
- **Mesososcopic approach**
 - Monte Carlo
 - **Continuous in terms of coverage**
- Microscopic approach (molecular dynamics)

Model

- 7 types of “chemisorption sites”

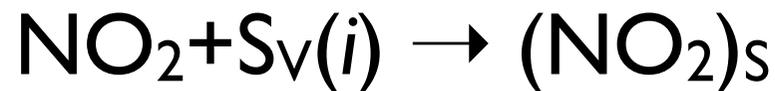
P. F. Kurunczi, J. Guha, and V. M. Donnelly: *J. Phys. Chem. B* **109** (2005) 20989.

- Eley-Rideal “recombination”

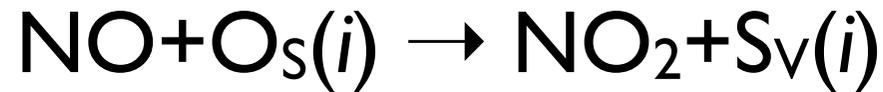


$$E_R = 16 - 41 \text{ kJ/mol}$$

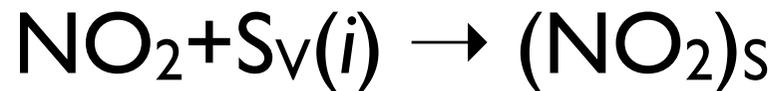
- NO_2 adsorption



Rates of the elementary processes



$$r_1(i) = k_1^{0'}(i) \varphi_i \frac{\phi_{\text{NO}}}{[\text{S}_i]} \exp\left(-\frac{E_R(i)}{kT_w}\right) \text{site}^{-1} \text{s}^{-1} .$$



$$r_2(i) = k_2^{0'}(i) \varphi_i \frac{\phi_{\text{NO}}}{[\text{S}_i]} \text{site}^{-1} \text{s}^{-1}$$

The system of equations

$$\theta_M(i) = \frac{[M_S(i)]}{[S_i]}$$

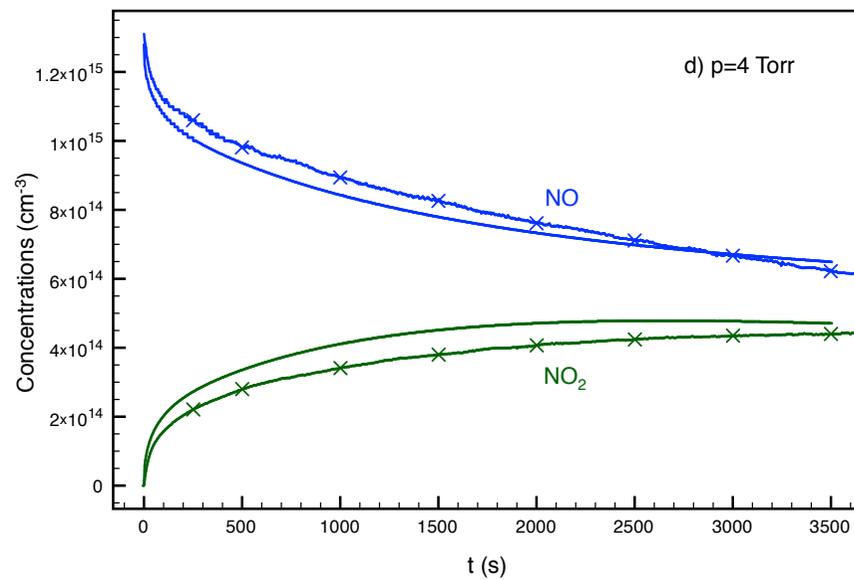
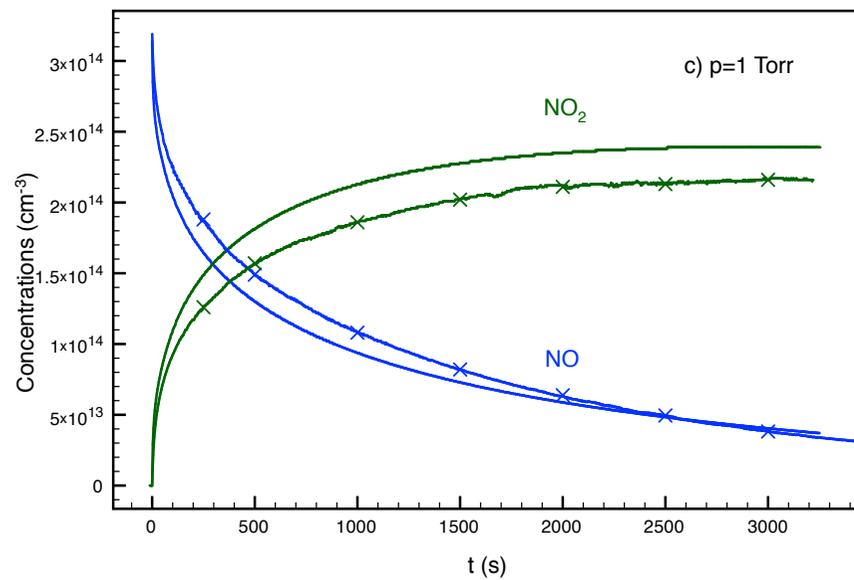
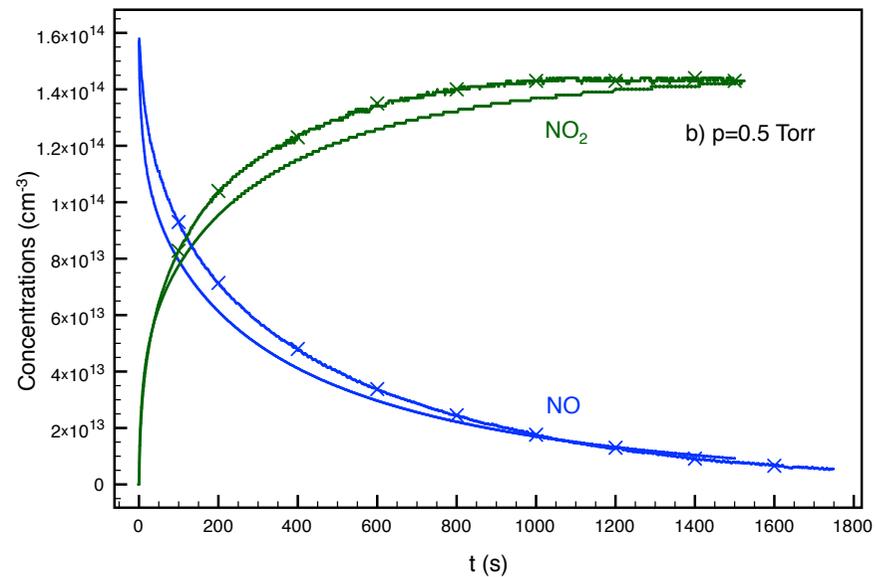
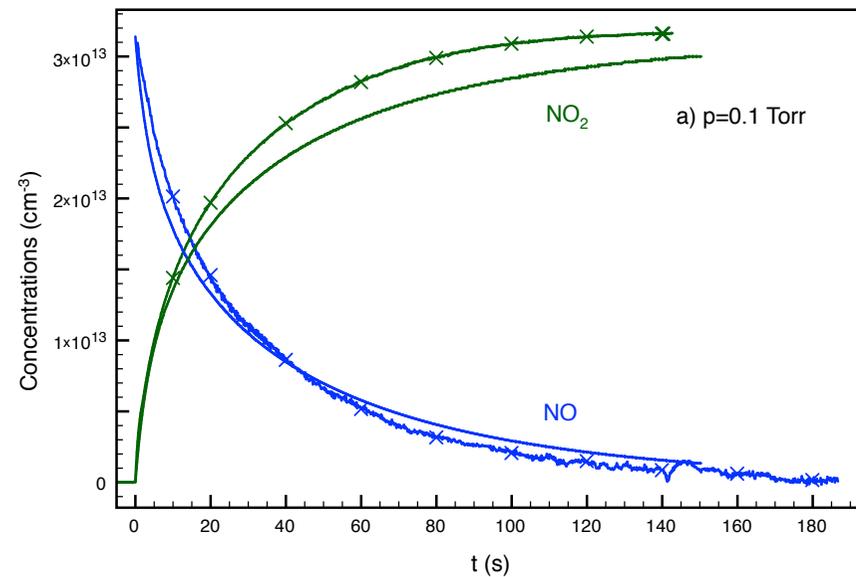
$$\frac{d\theta_O(i)}{dt} = -r_1(i)\theta_O(i)$$

$$\frac{d\theta_{NO_2}(i)}{dt} = [1 - \theta_O(i) - \theta_{NO_2}(i)] r_2(i)$$

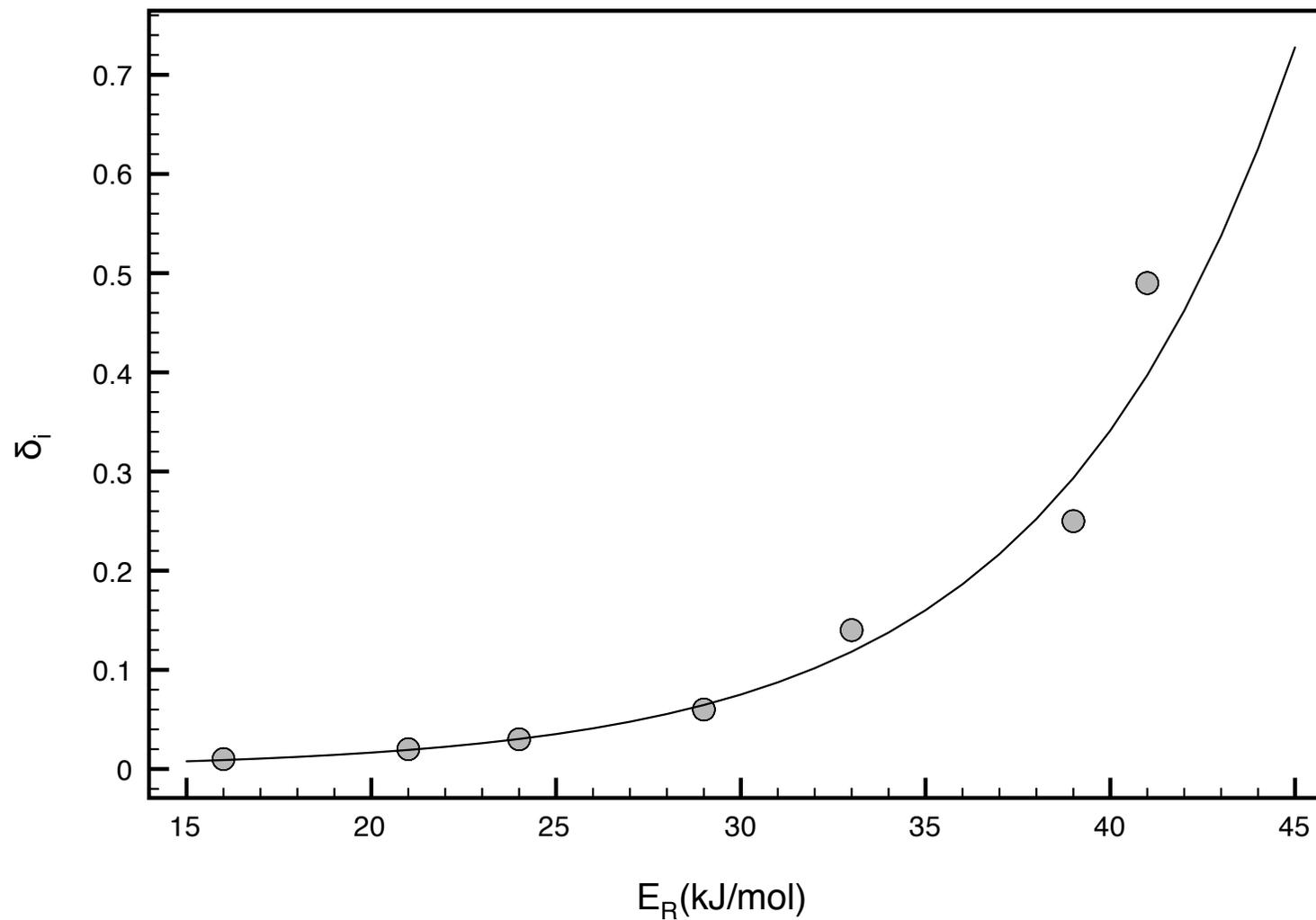
$$\frac{d[NO]}{dt} = -\frac{2}{R} \left(\sum_{i=1}^7 \theta_O(i) [S_i] r_1(i) \right)$$

$$\frac{d[NO_2]}{dt} = \frac{2}{R} \sum_{i=1}^7 \{ \theta_O(i) r_1(i) - [1 - \theta_O(i) - \theta_{NO_2}(i)] r_2(i) \} [S_i]$$

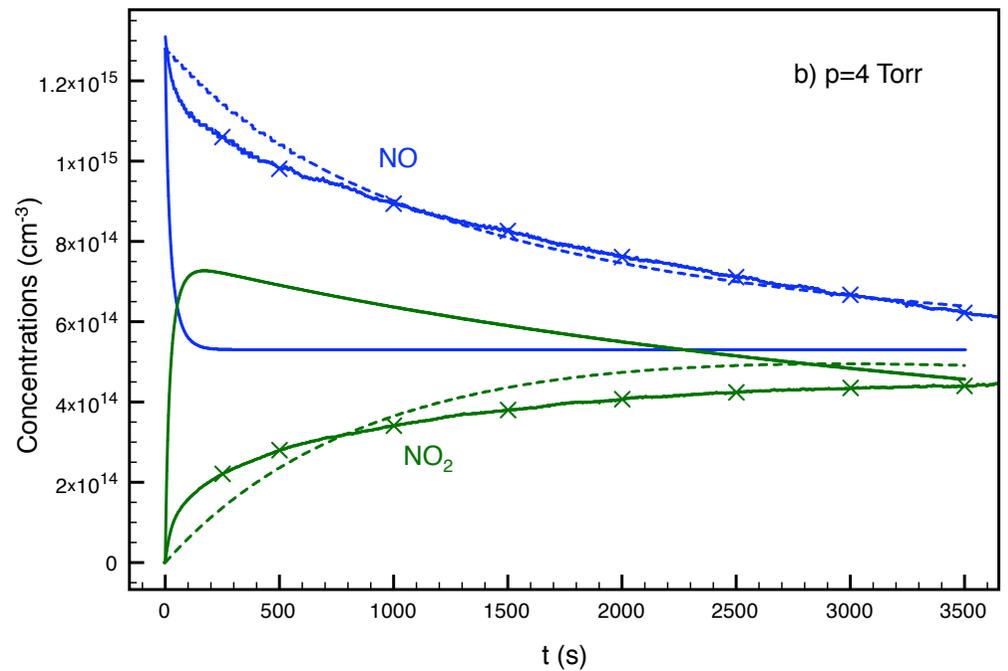
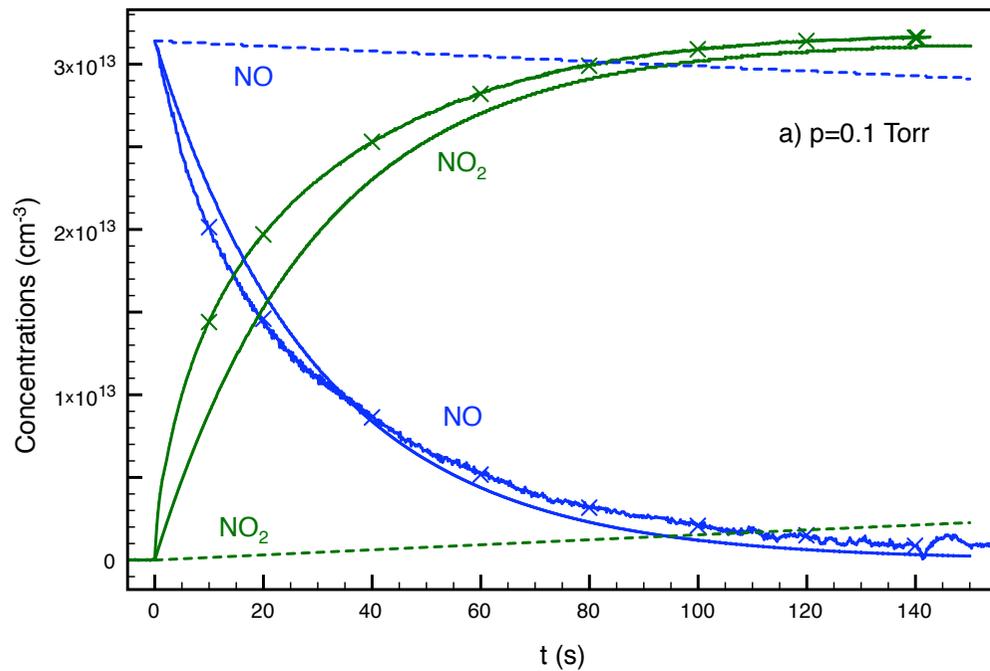
Results: NO and NO₂



Results: distribution of sites

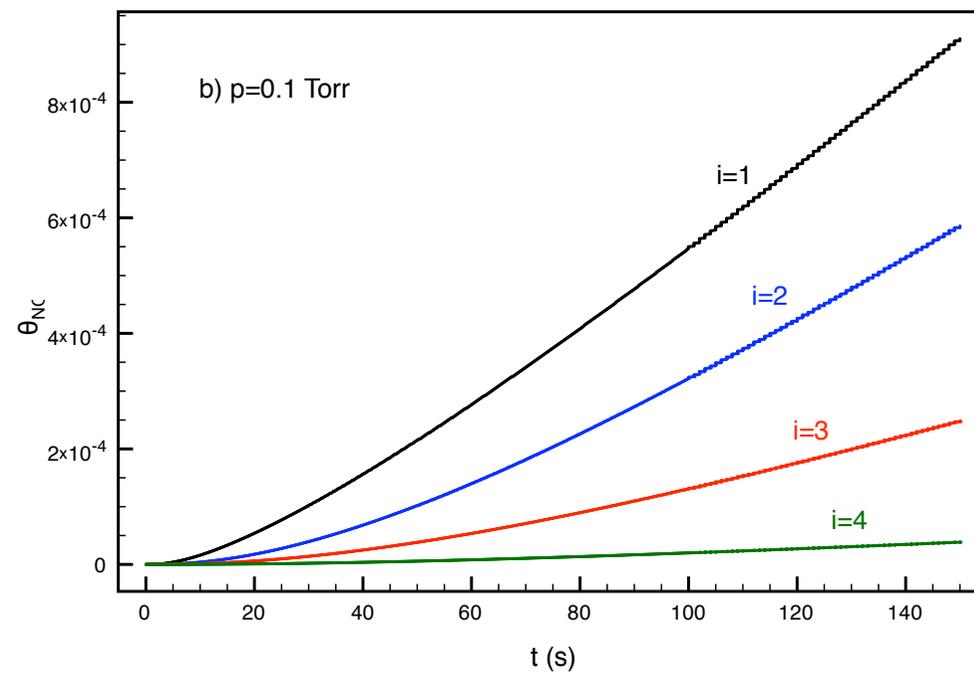
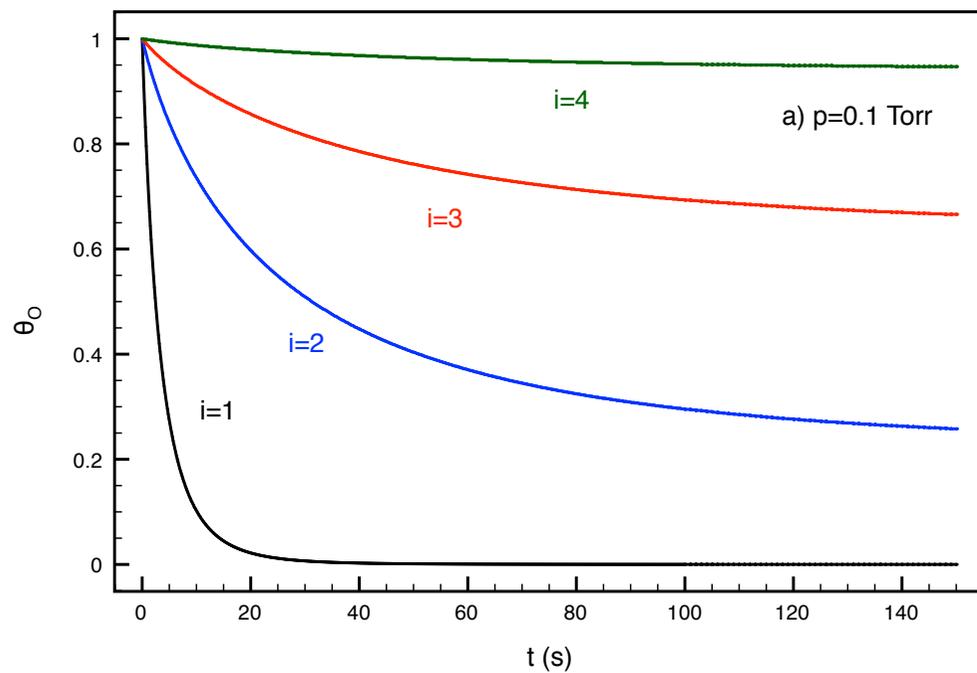


Results: NO and NO₂



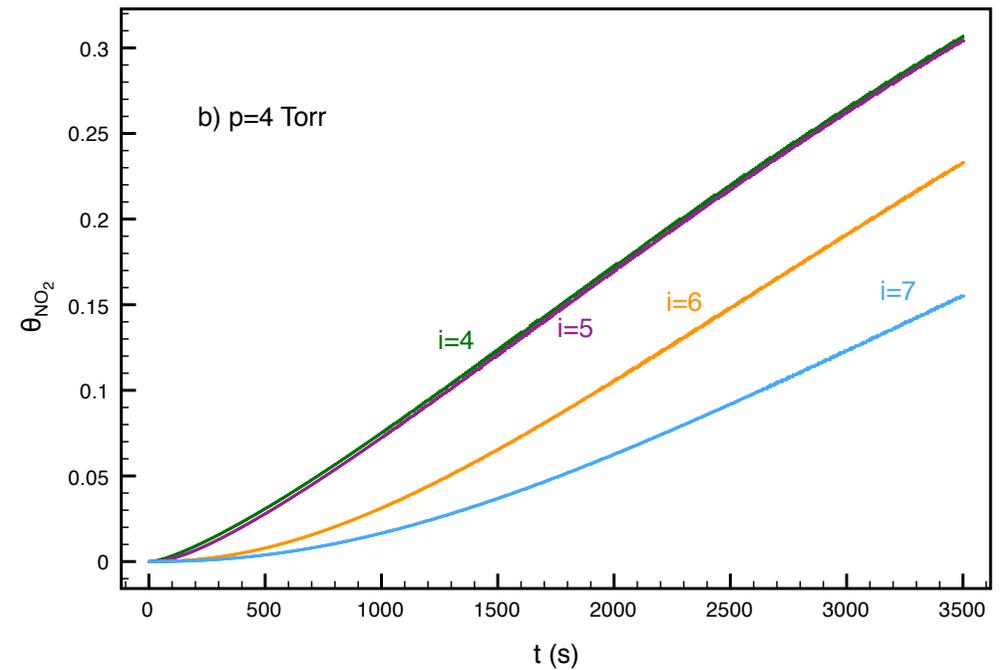
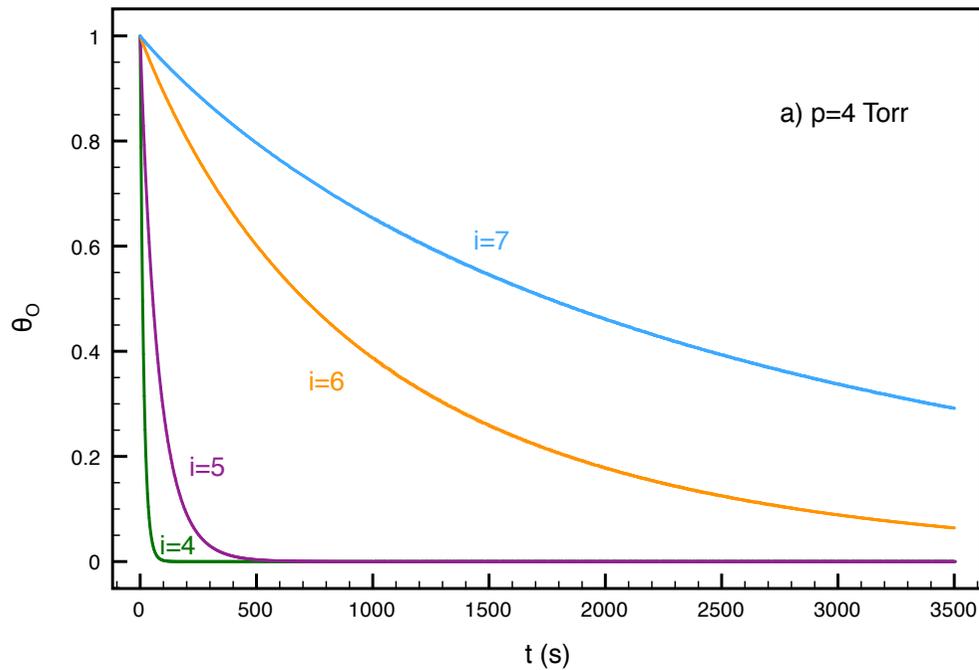
Different timescales require a distribution of $E_R(i)$

Results: coverage of O_s and NO_{2s}



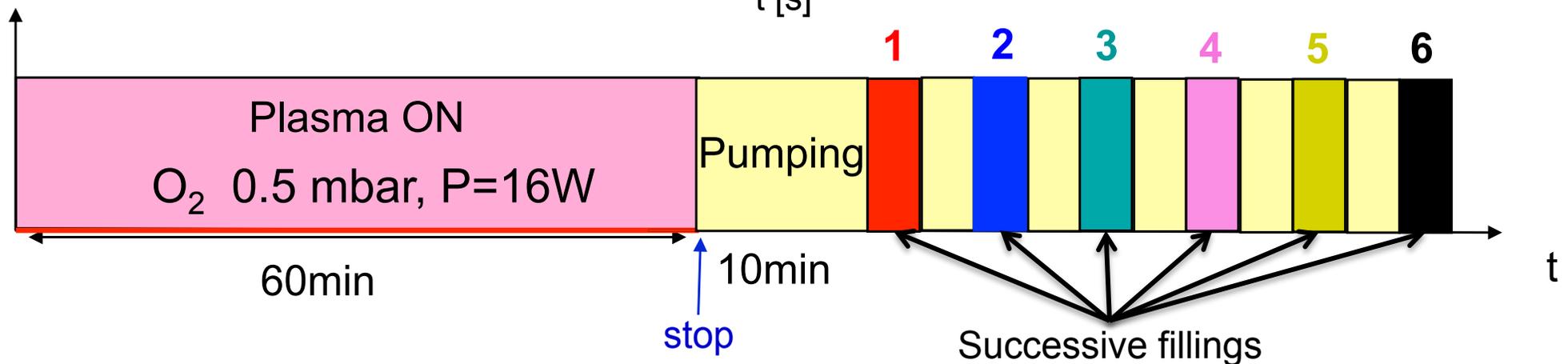
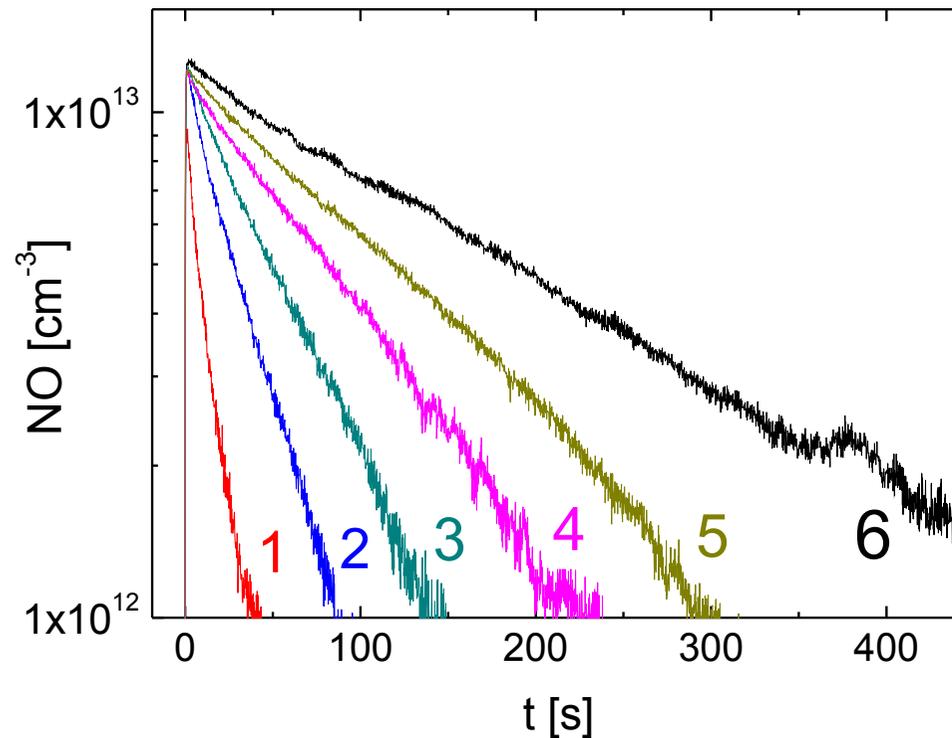
$p = 0.1$ Torr

Results: coverage of O_s and NO_{2s}

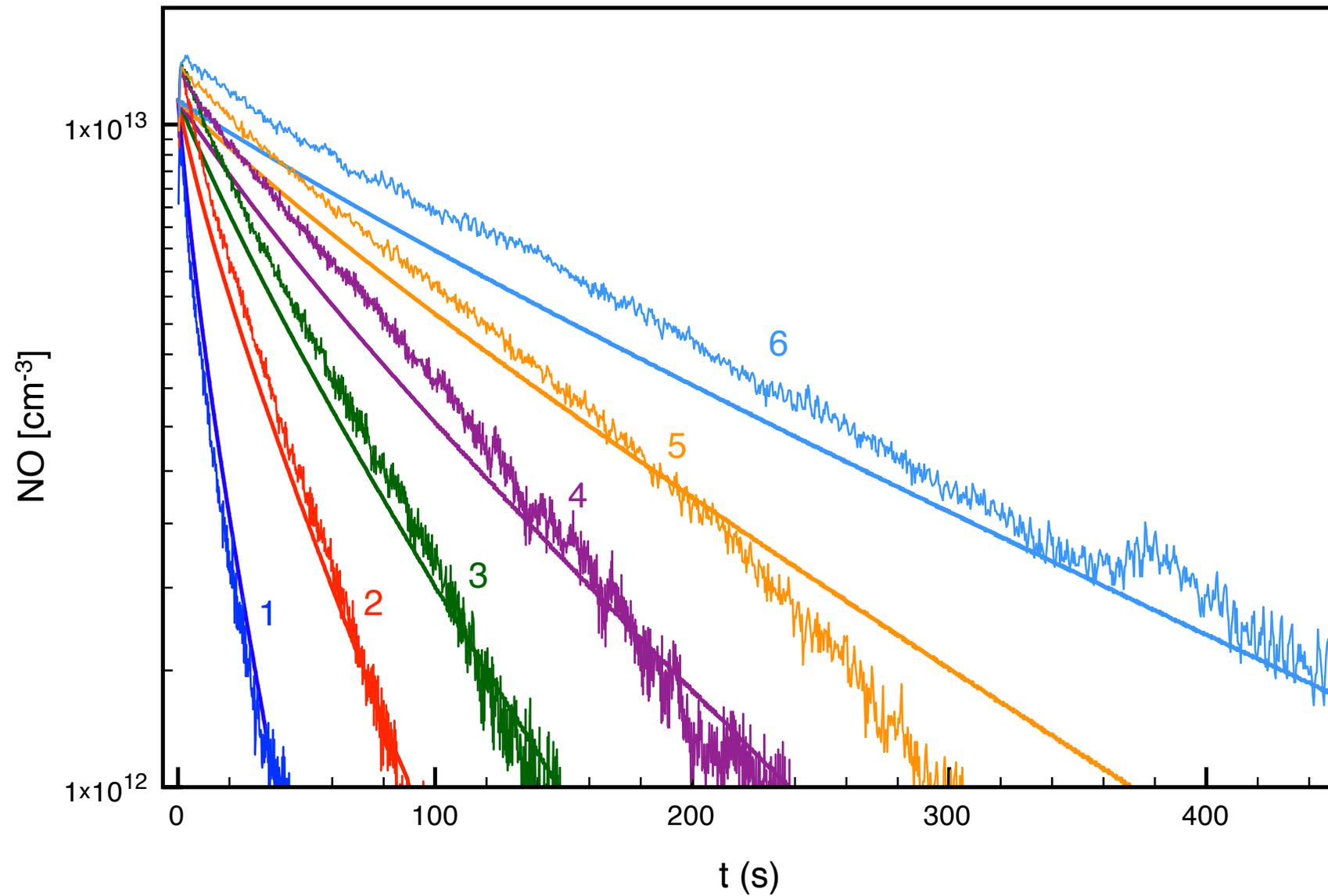


$p = 5$ Torr

Results: successive NO fillings



Results: successive NO fillings

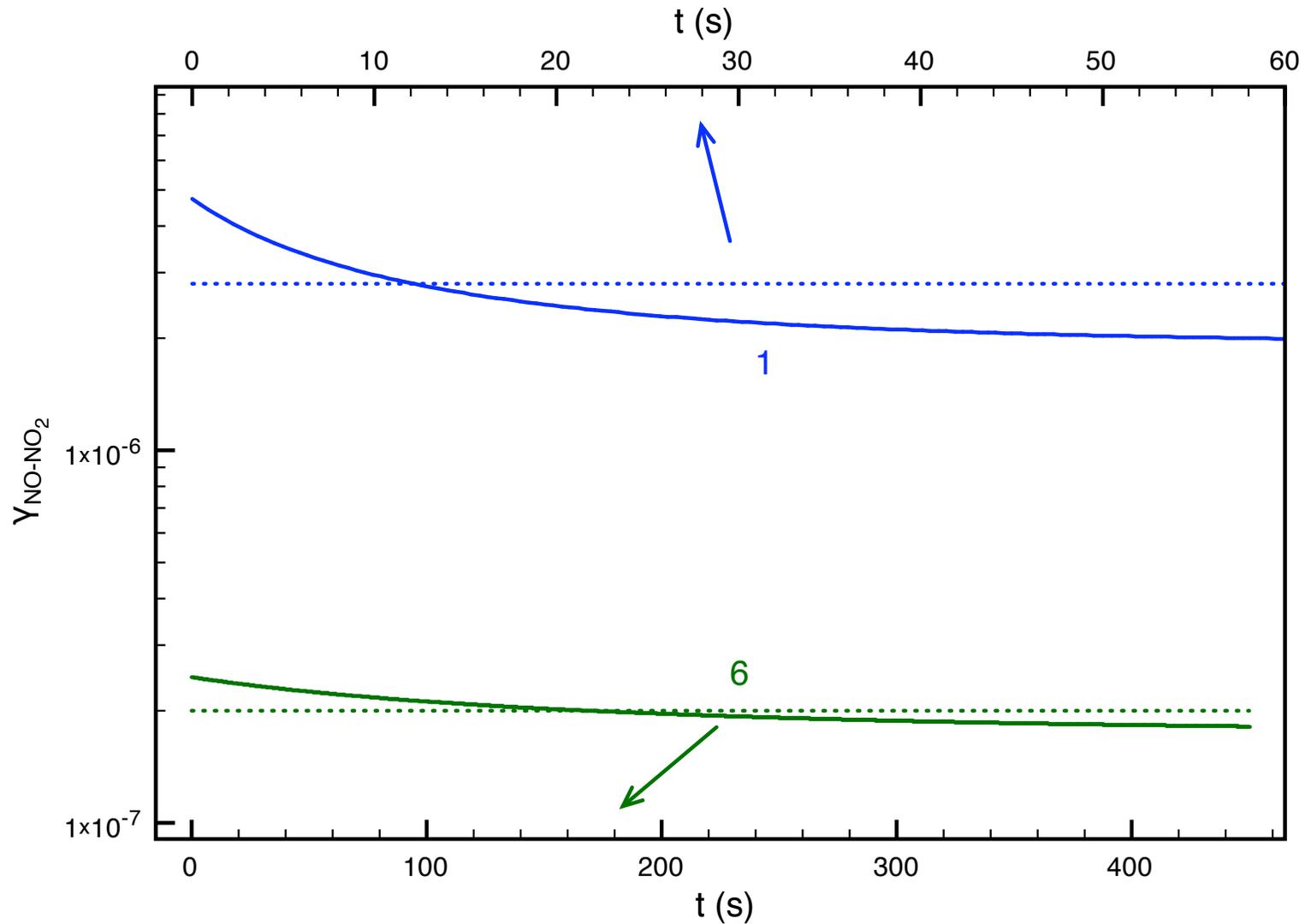


Results: effective γ_{NO-NO_2}

$$\left(\frac{d[NO]}{dt}\right)_{wall} = -\frac{\langle v_{NO} \rangle \gamma_{NO \rightarrow NO_2}}{2R} [NO]$$

$$\gamma_{NO \rightarrow NO_2} = \frac{1}{\phi_{NO}} \sum_{i=1}^7 \theta_S(i) [S_i] r_1(i)$$

Results: effective $\gamma_{\text{NO-NO}_2}$



M. Castillo, V. Herrero, I. Mendez, and I. Tanarro, *PSST* (2004) 13 343: 2×10^{-7}

Conclusions #2

- Formation of NO_2 by oxidation of NO with previously grafted O atoms on a Pyrex surface was established
- The complex time dependent kinetics observed is a manifestation of a distribution of reactivity among adsorption sites
- This was interpreted as a distribution of activation energies...
- ... which we assign to a distribution of binding energies of adsorbed O atoms

Conclusions #2

- The number and variety of time-dependent experiments imposes severe constraints on the model
- Several (7) types of chemisorption sites, with activation energies for recombination in the range 16-41 kJ/mol

Successes #3

Ozone kinetics in low-pressure discharges

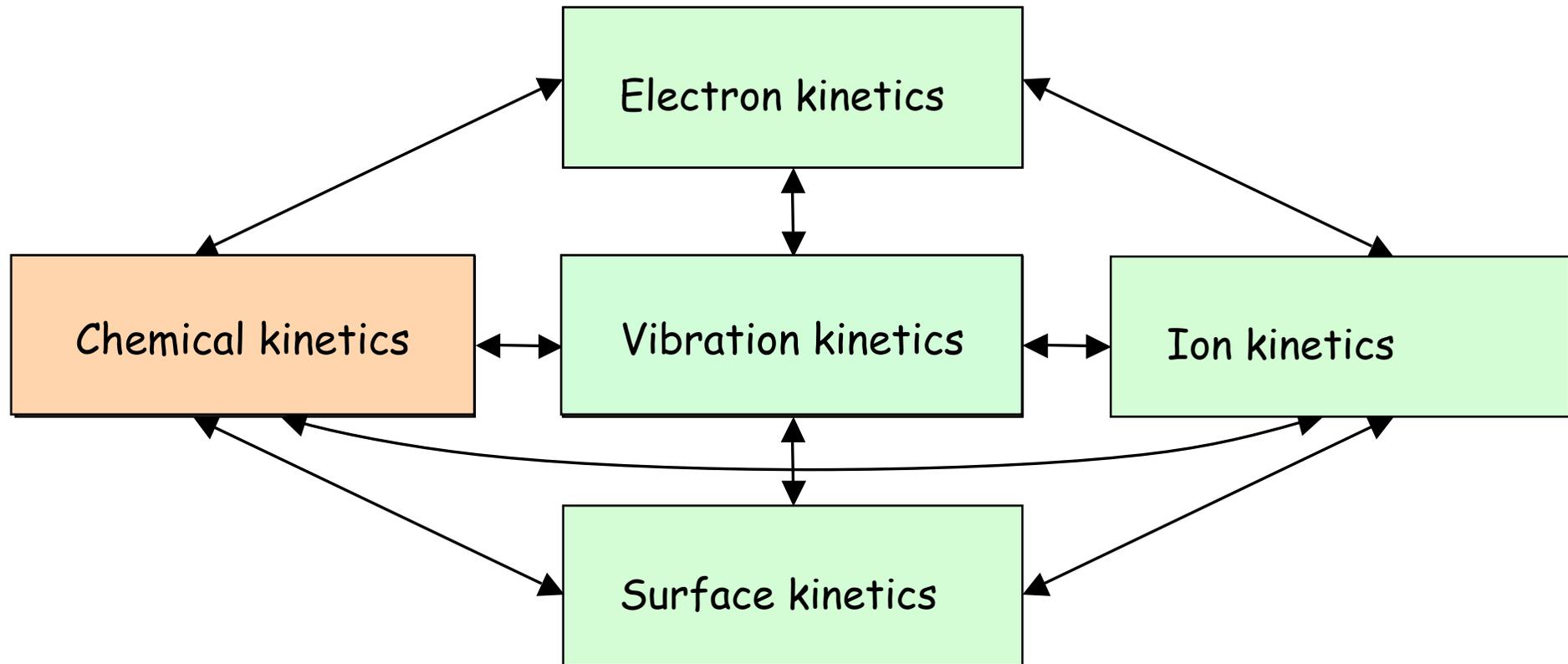
In collaboration with

Daniil Marinov, Jean-Paul Booth, Olivier Guaitella
and Antoine Rousseau

Laboratoire de Physique des Plasmas, Ecole Polytechnique, France

Plasma Sources Sci. Technol. **22** (2013) 055018

Ozone kinetics in low-pressure discharges



Motivation

- Ozone kinetics seems quite well established at atmospheric pressure
- What can we learn at lower pressures?
 - **Surface processes** (*O₃ formation at the wall*)
 - Role of vibrationally excited ozone (O₃^{*})

Ozone formation at the wall

Jansen and Tuzson [*J. Phys. Chem. A* (2010) 114 9709]

- “Isotope Evidence for Ozone Formation on Surfaces”

Lopaev *et al* [*J. Phys. D: Appl. Phys* (2011) 44]

- DC discharges $p=10\text{-}50$ Torr
- $\gamma(\text{O}_3)$ increases with pressure: $\sim 10^{-3}$ at 10 Torr;
 $\sim 5 \times 10^{-4}$ at 5 Torr)

Vibrationally excited ozone

Rawlins *et al* [*J. Geophys. Res.* **90** (1985) 283]

- O_3^* is mainly formed on the asymmetric stretching mode ν_3 (00v)

Eliasson *et al* 1987, Eliasson and Kogelschatz 1990
[*J. Phys. D: Appl. Phys.* **20** (1987) 1421]

- ▶ $O_2 + O_2 + O \rightarrow O_3 + O_2$ produces O_3 mainly in an **excited state**
- O_3^* decreases the absolute value of $[O_3]$
- O_3^* increases the characteristic time for ozone formation

Lopaev *et al* 2011

- Model with 5 vibration levels of O_3

Experiment

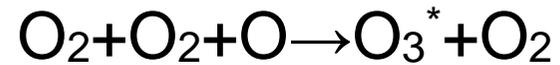
- DC discharge with short pulses (~ 1 ms)
- Silica tube, $R=1$ cm, $p=1-5$ Torr
- Time-resolved measurements of $[O]$ (TALIF)
- Time-resolved measurements of $[O_3]$ (UV absorption)

Modeling

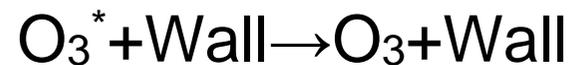
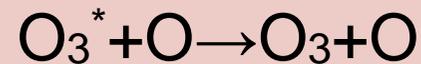
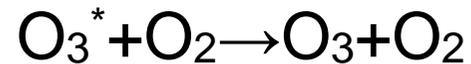
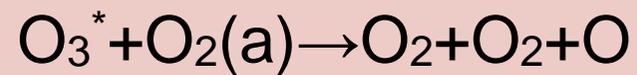
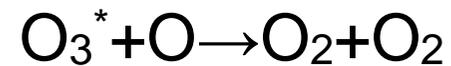
- Self-consistent kinetic model (Boltzmann, $O_2(X, a, b)$, $O(^3P, ^1D)$, O_3 , O_3^* , O^+ , O_2^+ , O^-)
- Input: $p, I, R, \Delta t, T_g=300$ K
- Discharge + afterglow
- One effective vibrationally excited level O_3^*
- Collision rates taken essentially for the (001) level
- 2/3 of $O_2+O_2+O \rightarrow O_3+O_2$ produce O_3^*

O₃^{*} kinetics

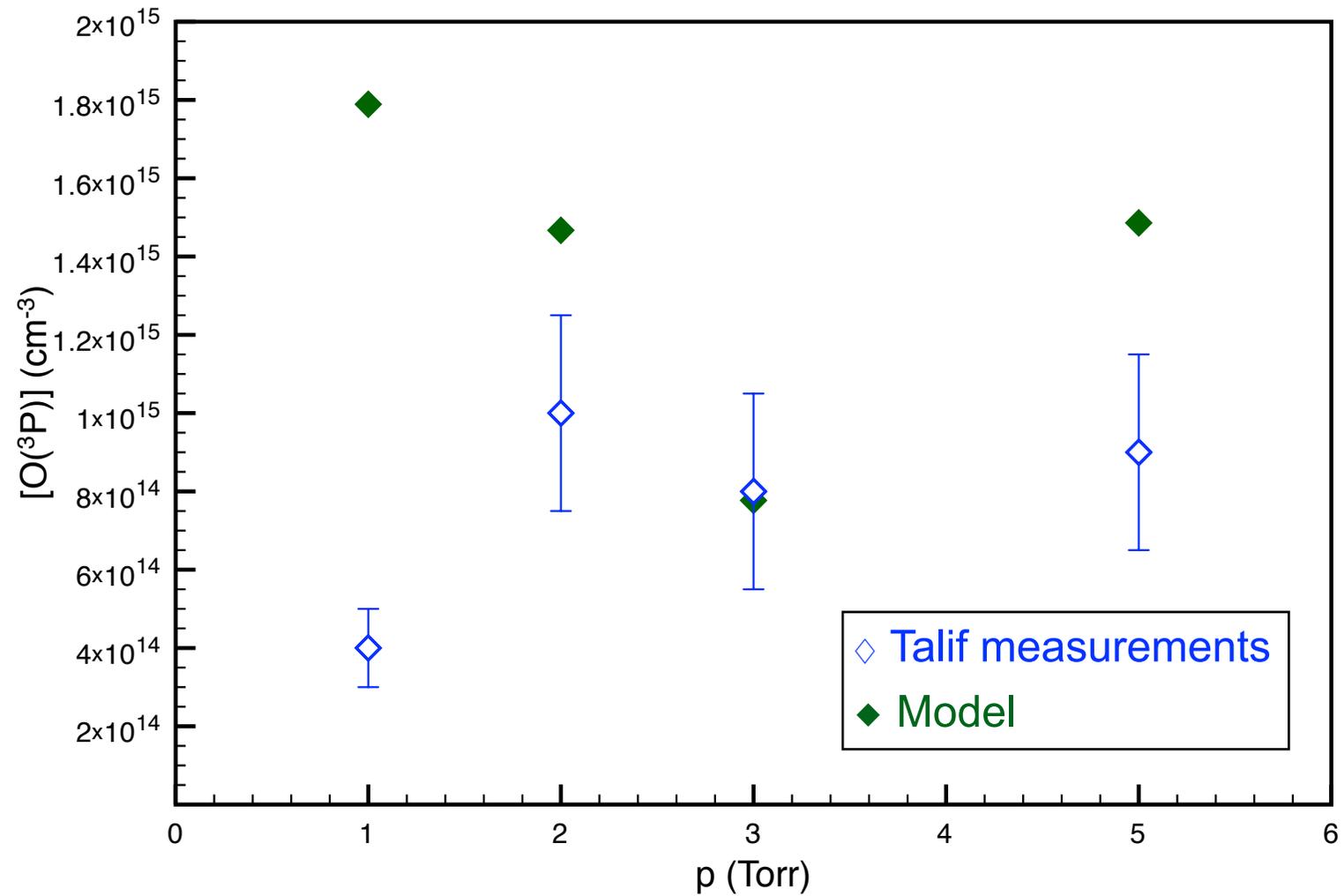
Formation



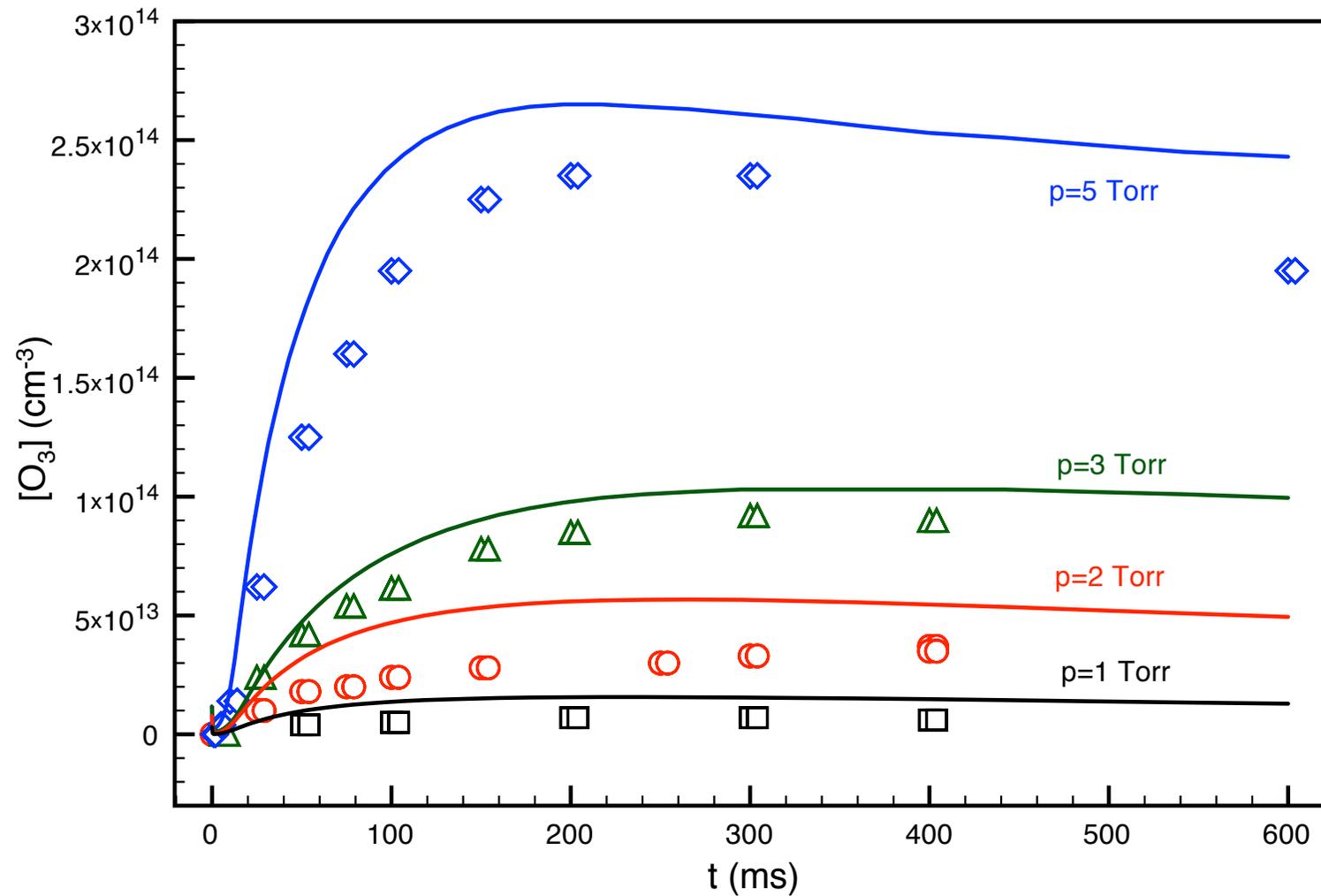
Destruction



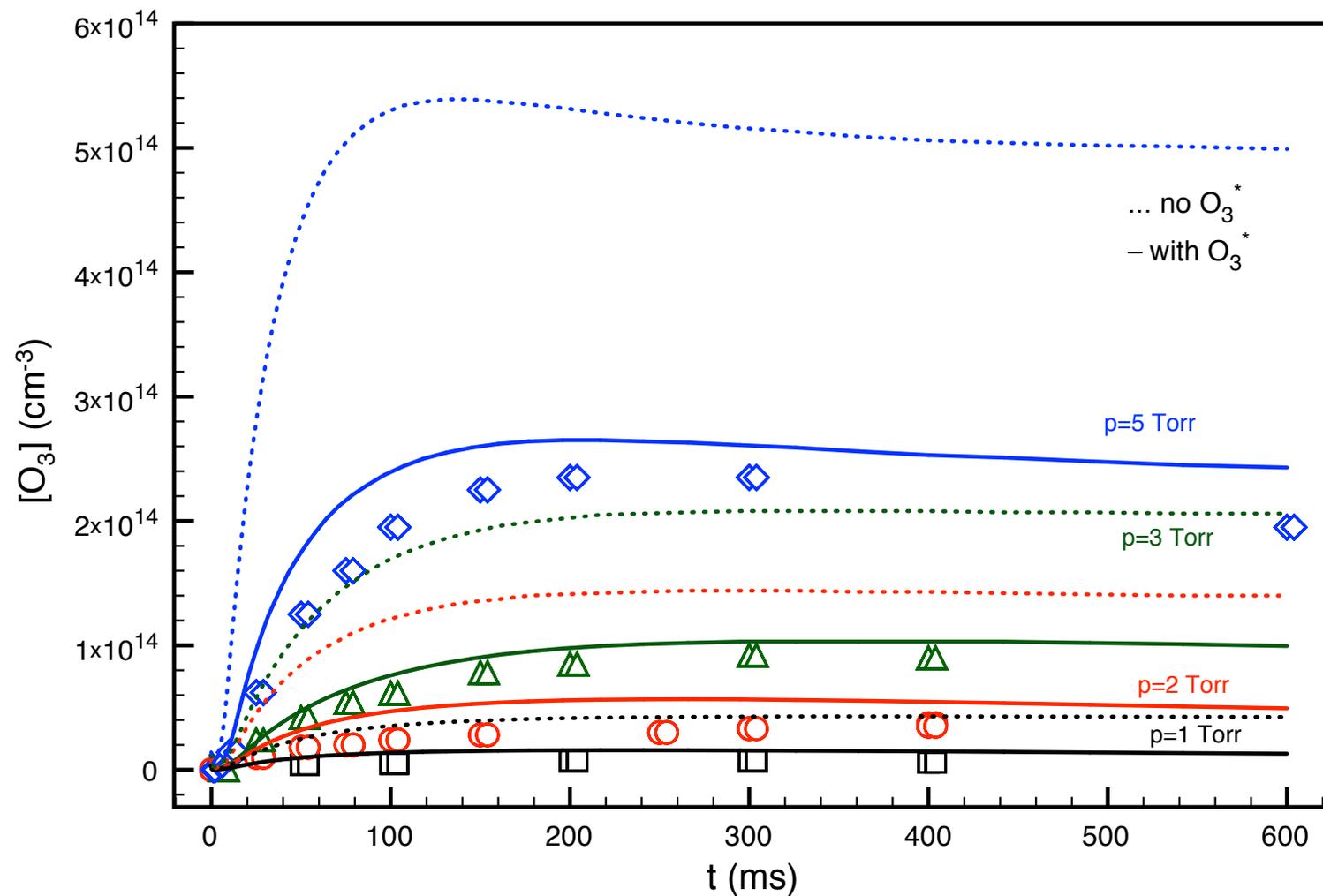
Results: 0 atoms



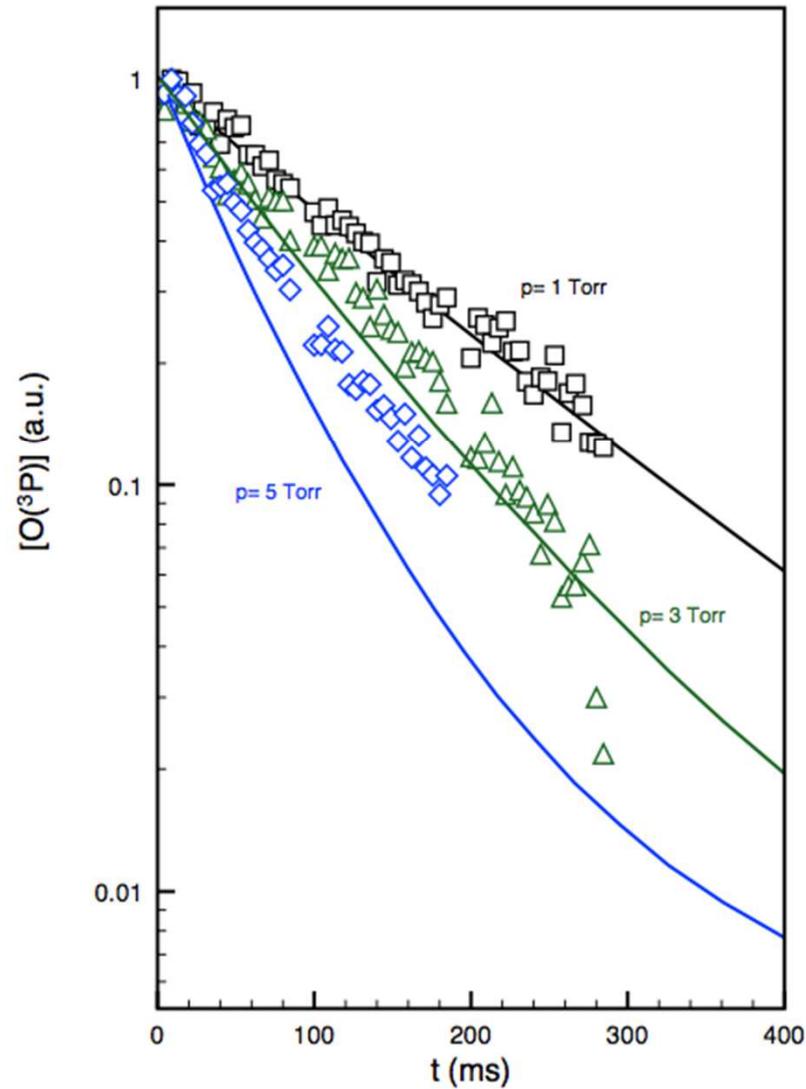
Results: O₃ molecules



Results: role of O_3^*

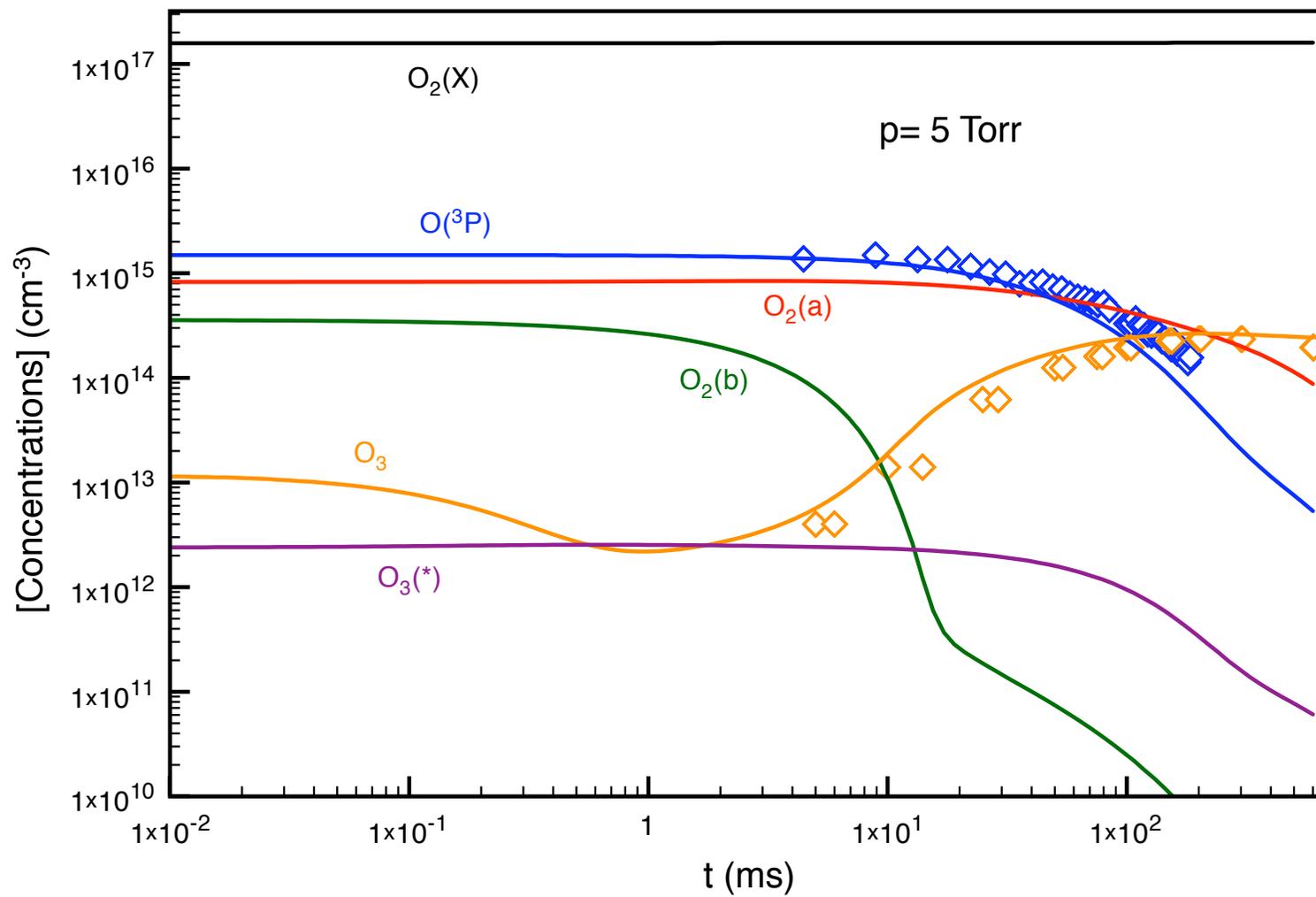


Results: O atoms decay

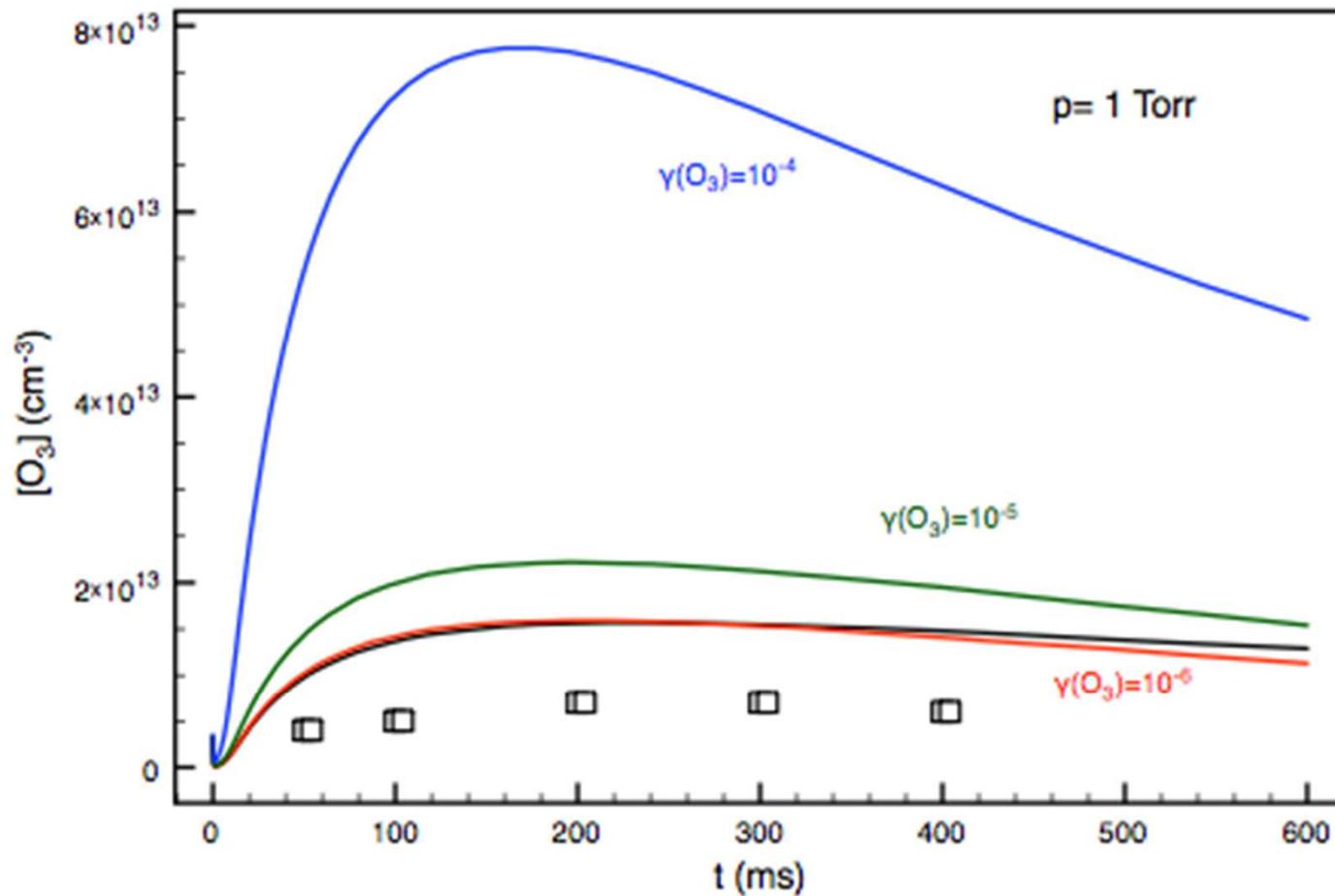


$$\gamma_O = 2 \times 10^{-4}$$

Results: species concentrations

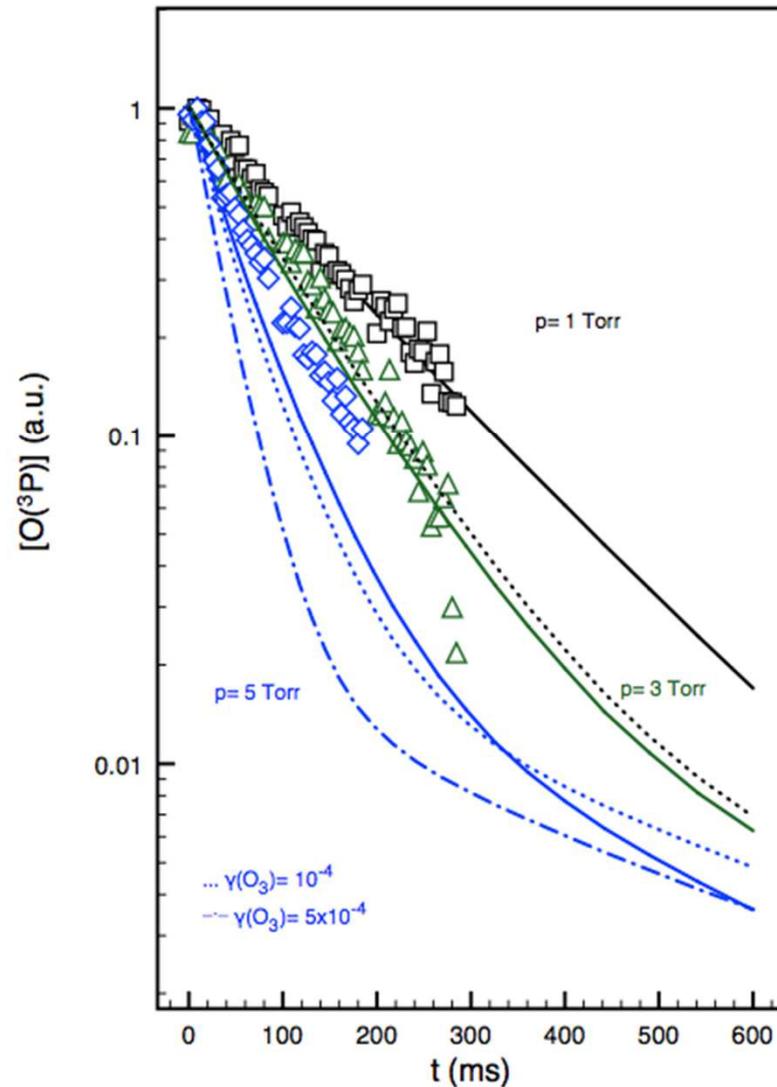


Results: $\gamma(\text{O}_3)$



$p=1\text{Torr}; \quad \gamma(\text{O}_3) < 10^{-5}$

Results: $\gamma(\text{O}_3)$



p=5 Torr
 $\gamma(\text{O}_3) < 10^{-4}$

Conclusions #3

- Good agreement between measurements and model calculations
- Vibrationally excited ozone plays an important role in O₃ kinetics
- Formation of ozone at surfaces could not be established at the present conditions
- Upper limits for $\gamma(\text{O}_3)$ were established at 10^{-5} and 10^{-4} for $p=1$ and 5 Torr, respectively, in good agreement with Lopaev *et al* 2011

Successes #4

Line-ratio determination of $[N_2(A)]$ in the N_2 afterglow

In collaboration with

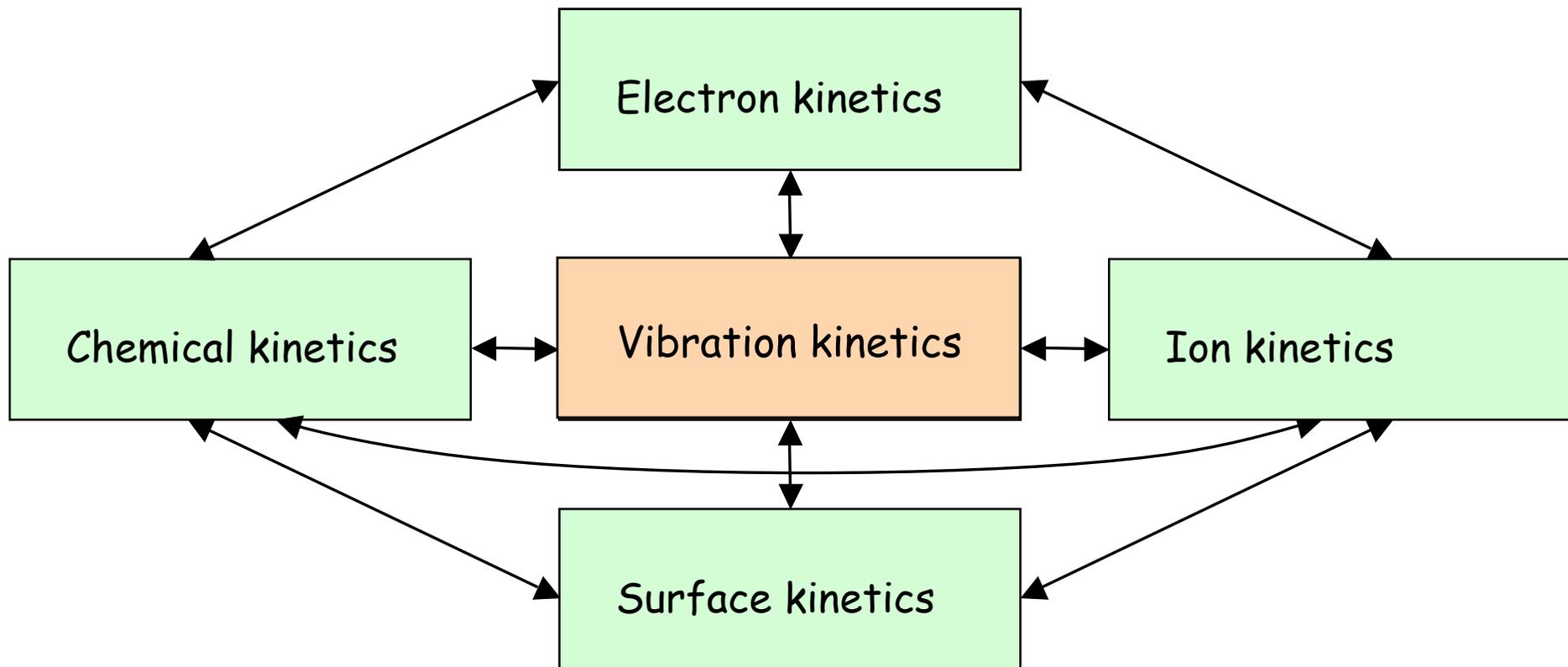
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¹Universite de Toulouse, LAPLACE, France

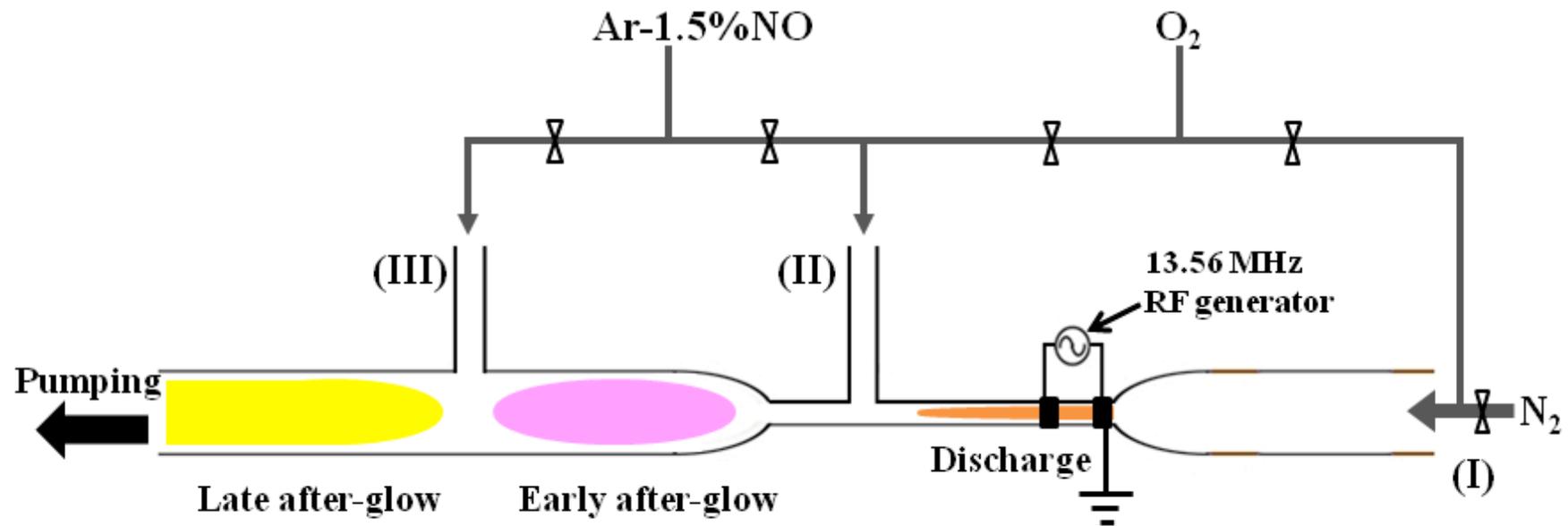
²Division of Energy Systems Research, Ajou University, Suwon, South Korea

Plasma Sources Sci. Technol. **22** (2013) 035009

Line-ratio determination of $N_2(A)$ in the nitrogen afterglow



The nitrogen afterglows



RF discharge, $p = 8$ Torr, $P = 100$ W, $Q = 1$ slm,
 $R_1 = 0.3$ cm, $R_2 = 1.1$ cm (quartz), gas mixture N_2 -(0-1)% O_2 .

Origin of the pink afterglow

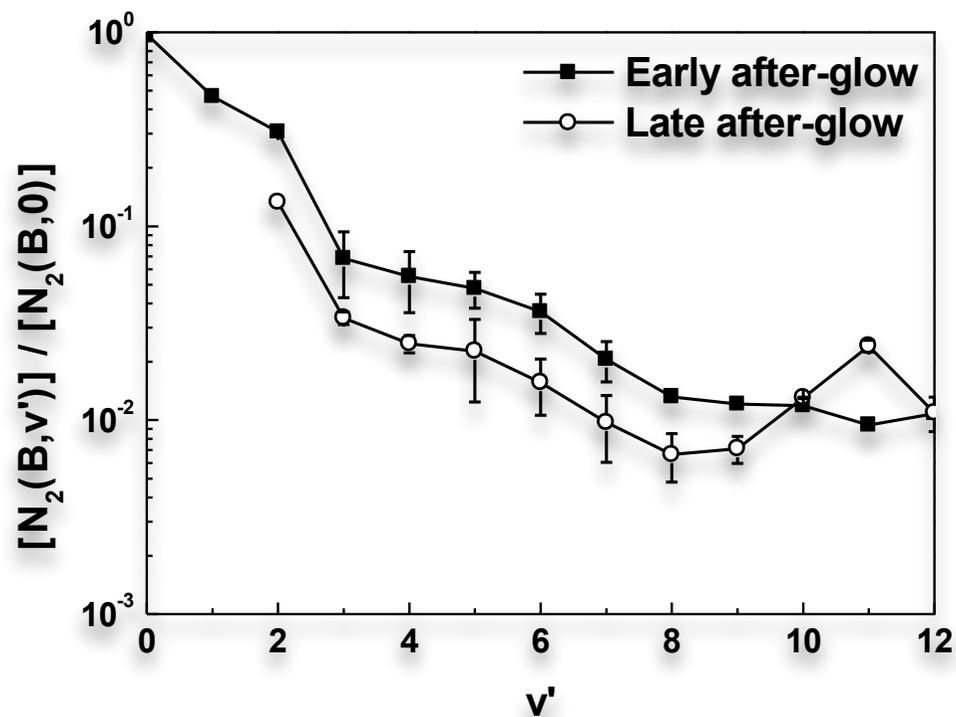
- V-E transfers involving $N_2(X, v > 30)$

- Local production of $N_2(A)$ and $N_2(a')$



Origin of the yellow afterglow

- Three-body nitrogen atomic recombination



Diagnostics: actinometry / line-ratio

- The pink afterglow in pure nitrogen has been systematically studied ~10 years ago
- Optical emission spectroscopy is relatively simple to use and inexpensive
- Large expansion of “actinometric” or “line-ratio” methods, usually for the active discharge
- Development of sophisticated kinetic models extension of line-ratio methods for quite different situations!

Diagnostics: actinometry / line-ratio

- A new variant is proposed: determination of the absolute densities of

- N(⁴S)

- O(³P)

- N₂(A)

in the nitrogen afterglow.

- Bonus: experimental estimation of the rate coefficient of reaction

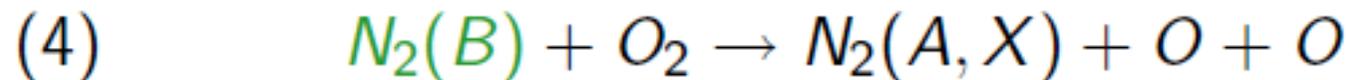
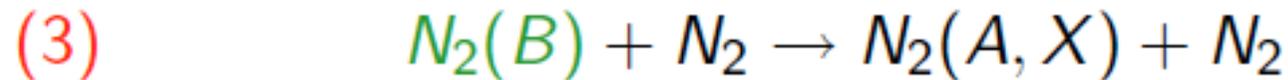
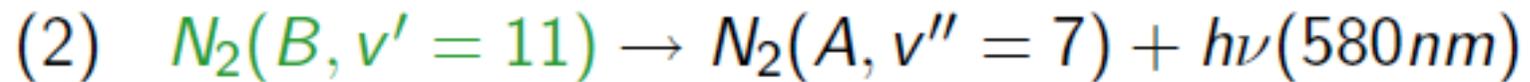
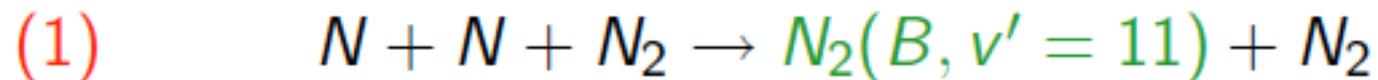


at room temperature

Determination of [N]

Experimental determination of [N]:

- Measurement of the 1^+ intensity at 580 nm
- Pseudo-stationary concentration $[N_2(B)]$ determined from:



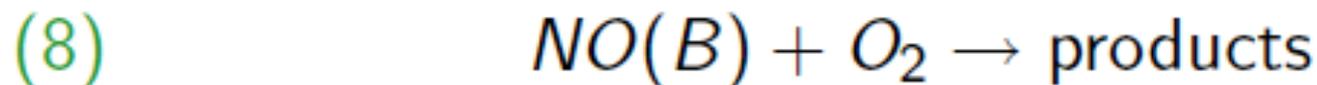
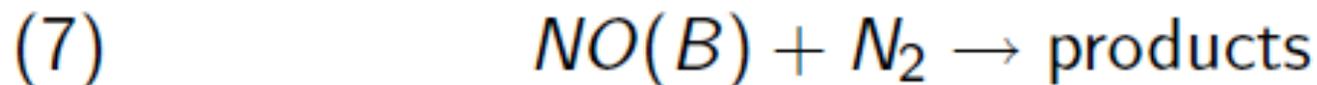
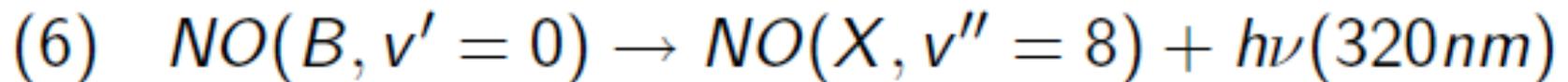
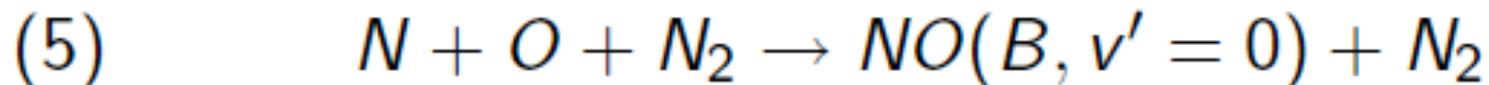
$$a_{NN} I(580) \simeq k_c(580) A_{580} \frac{k_1}{k_3} [N]^2$$

- Requires calibration (NO titration) and early-late “mixing coefficient”

Determination of [O]

Experimental determination of [O]:

- Measurement of the NO_β intensity at 320 nm
- Line-ratio $I(1^+)/I(NO_\beta)$
- Pseudo-stationary concentration [NO(B)] determined from:

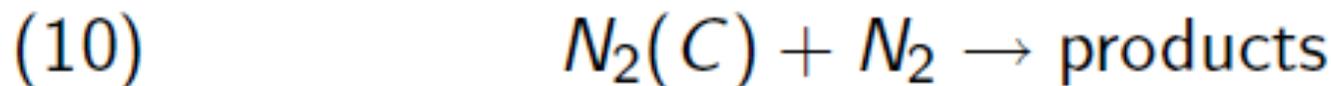
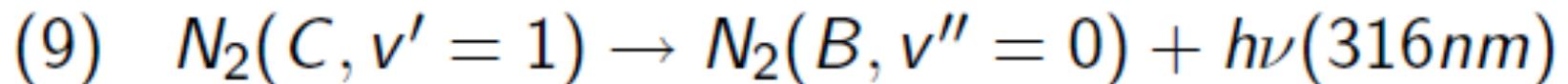
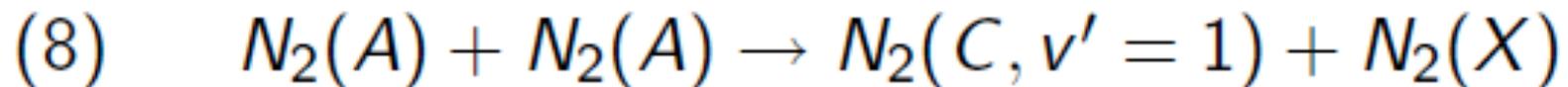


$$\frac{a_{NN}I(580)}{I(320)} \simeq \frac{c(580) A_{580} (k_1/k_3)[N]}{c(320) A_{320} k_5 [O][N_2]} (\nu_6 + k_7[N_2])$$

Determination of $[N_2(A)]$

Experimental determination of $[N_2(A)]$:

- Measurement of the 2^+ intensity at 316 nm
- Line-ratio $I(NO_\beta)/I(2^+)$
- Pseudo-stationary concentration $[N_2(C)]$ determined from:

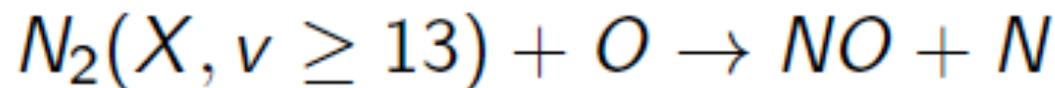


$$\frac{I(320)}{I(316)} \simeq \frac{A_{320}}{A_{316}} \frac{k_5 [M][O][N_2]}{k_8 [N_2(A)]^2} \frac{\nu_9 + k_{10}[N_2]}{\nu_6 + k_7[N_2]}$$

Bonus: determination of $[N_2(A)]$ #2

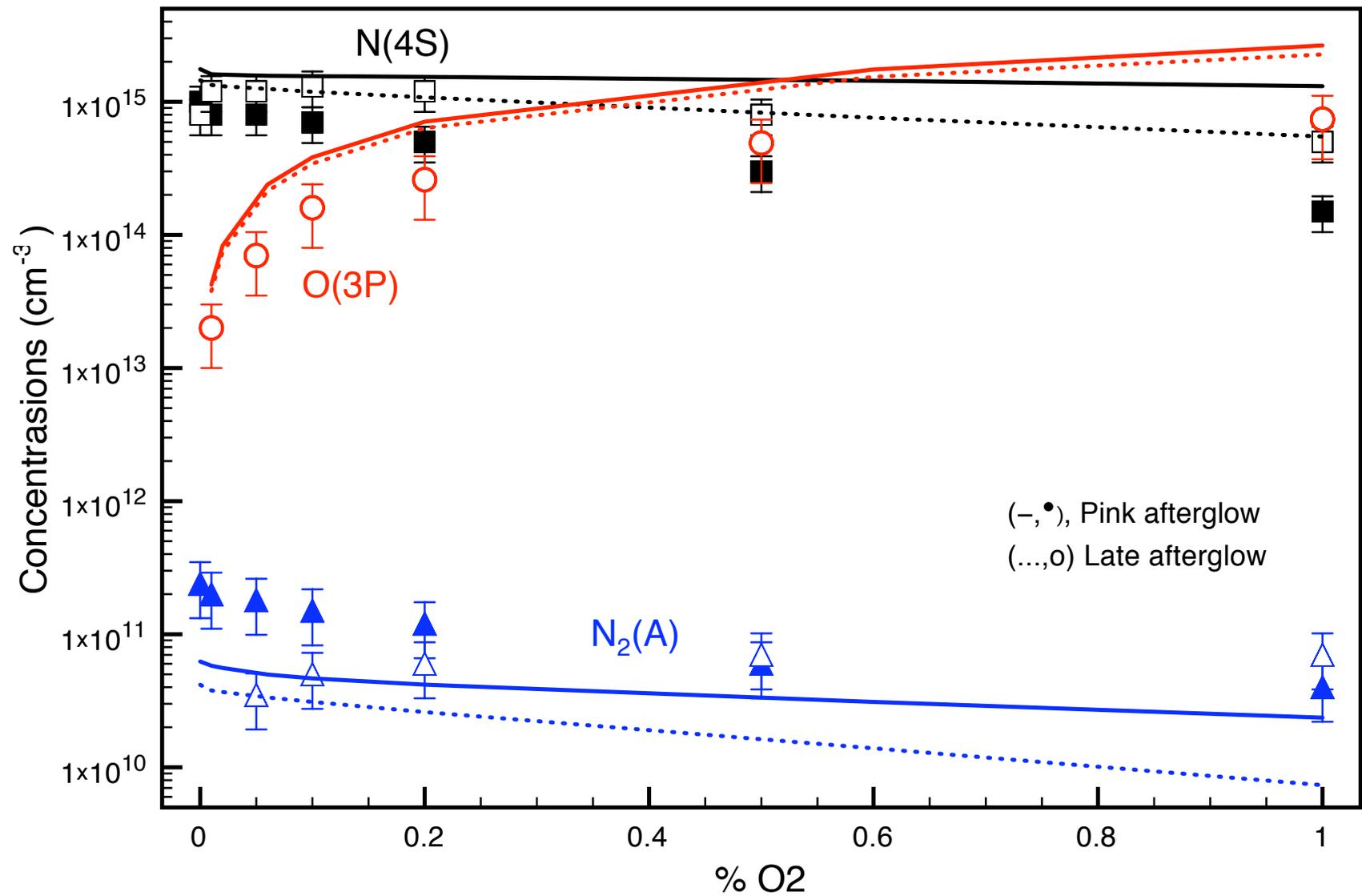
$[N_2(A)]$ can be additionally determined from:

- the $I(2^+)/I(1^+)$ ratio
- the $I(NO_\beta)/I(NO_\gamma)$ ratio
- [Ricard *et al*, *Plasma Sources Sci. Technol.* **22** (2013) 035009]
- the $I(NO_\beta)/I(NO_\gamma)$ ratio provides the first experimental estimation of the rate coefficient of

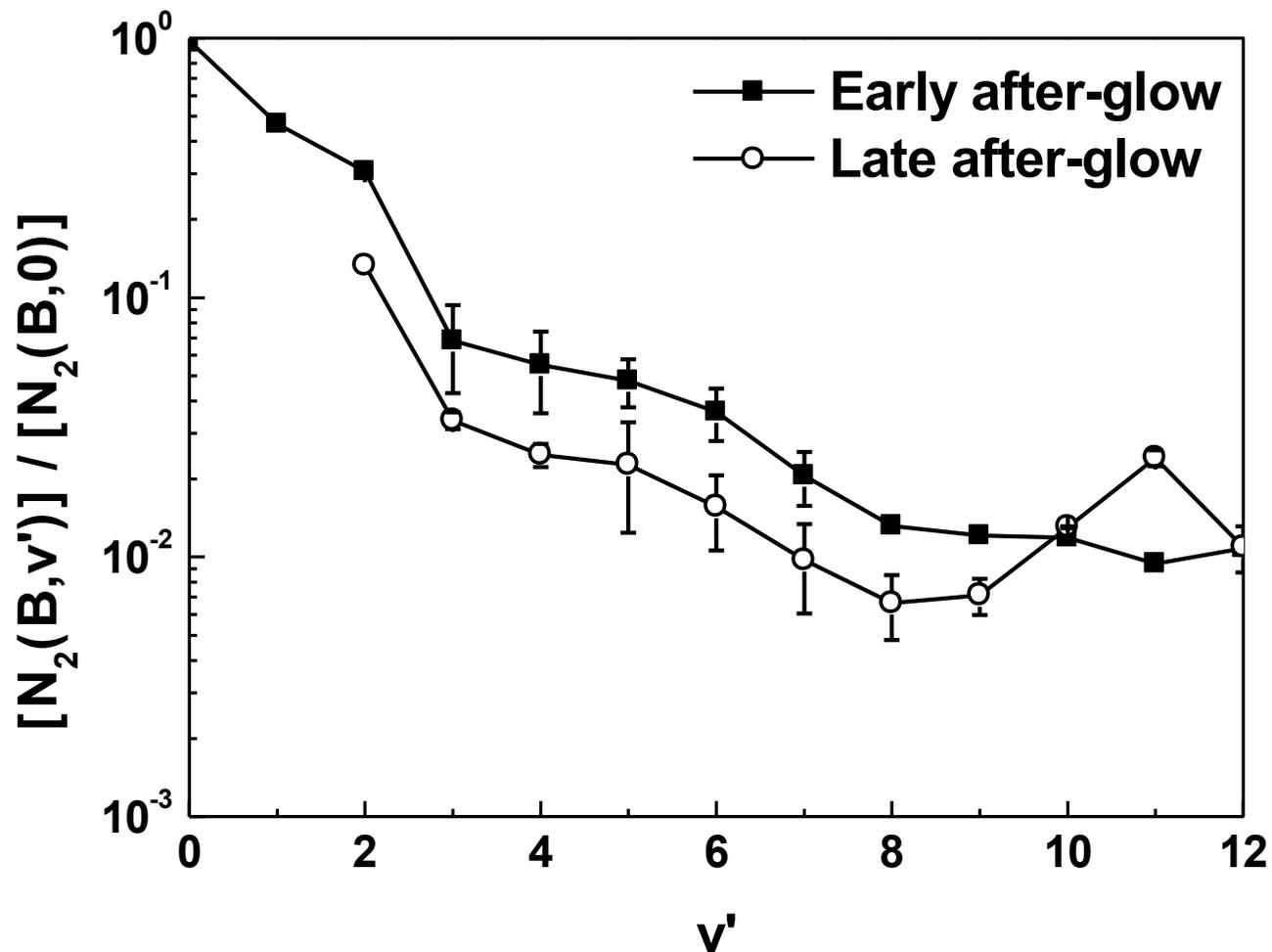


at low temperature (!)

Results: summary

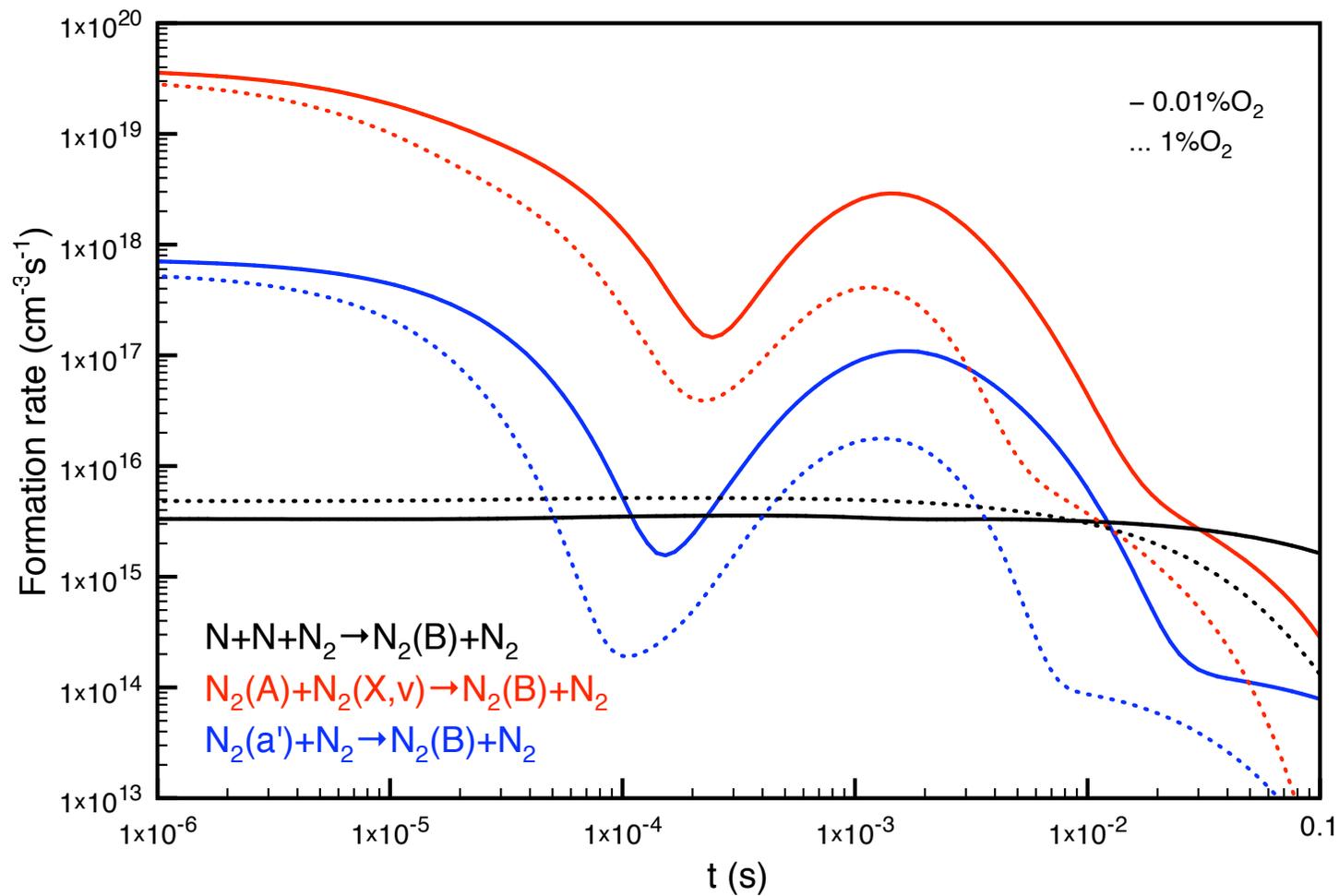


$N_2(B)$ state



$p=8$ Torr, $P=100$ W

$N_2(B)$ state



$p=8$ Torr, $P=100$ W

$N_2(A)$ concentration

$[N_2(A)]$ can be determined from different line ratios:

Species	Lines	Industrial N_2
$[N_2(A)]$	$I_\gamma(259)/I_\beta(262)$	$1.1 \times (10^9 - 10^{11})$
$[N_2(A)]$	$I_{2+}(316)/I_\beta(320)$	2.4×10^{11}
$[N_2(A)]$	$I_{1+}(580)/I_{2+}(316)$	7.3×10^{10}

Table: Absolute densities in cm^{-3} estimated in the late afterglow: $p = 8$ Torr, $Q = 1$ Slm, $P = 100$ W.

Conclusions #4

- OES line-ratio methods are powerful and can be extended far beyond their typical domain of application
- $[N]$, $[O]$ and $[N_2(A)]$ were experimentally determined
- Different method for estimation of $[N_2(A)]$ give consistent results
- The present work opens the door for a first experimental (indirect) determination of the rate coefficient of the NO formation reaction
 $N_2(X, v \geq 13) + O \rightarrow NO + N$ at room temperature

Successes #5

Surface waves in capillary tubes

In collaboration with

Philippe Coche,¹ Luís Lemos Alves,¹ Olivier Leroy,²
Gabi Stancu,³ Philippe Leprince² and Tiberiu Minea²

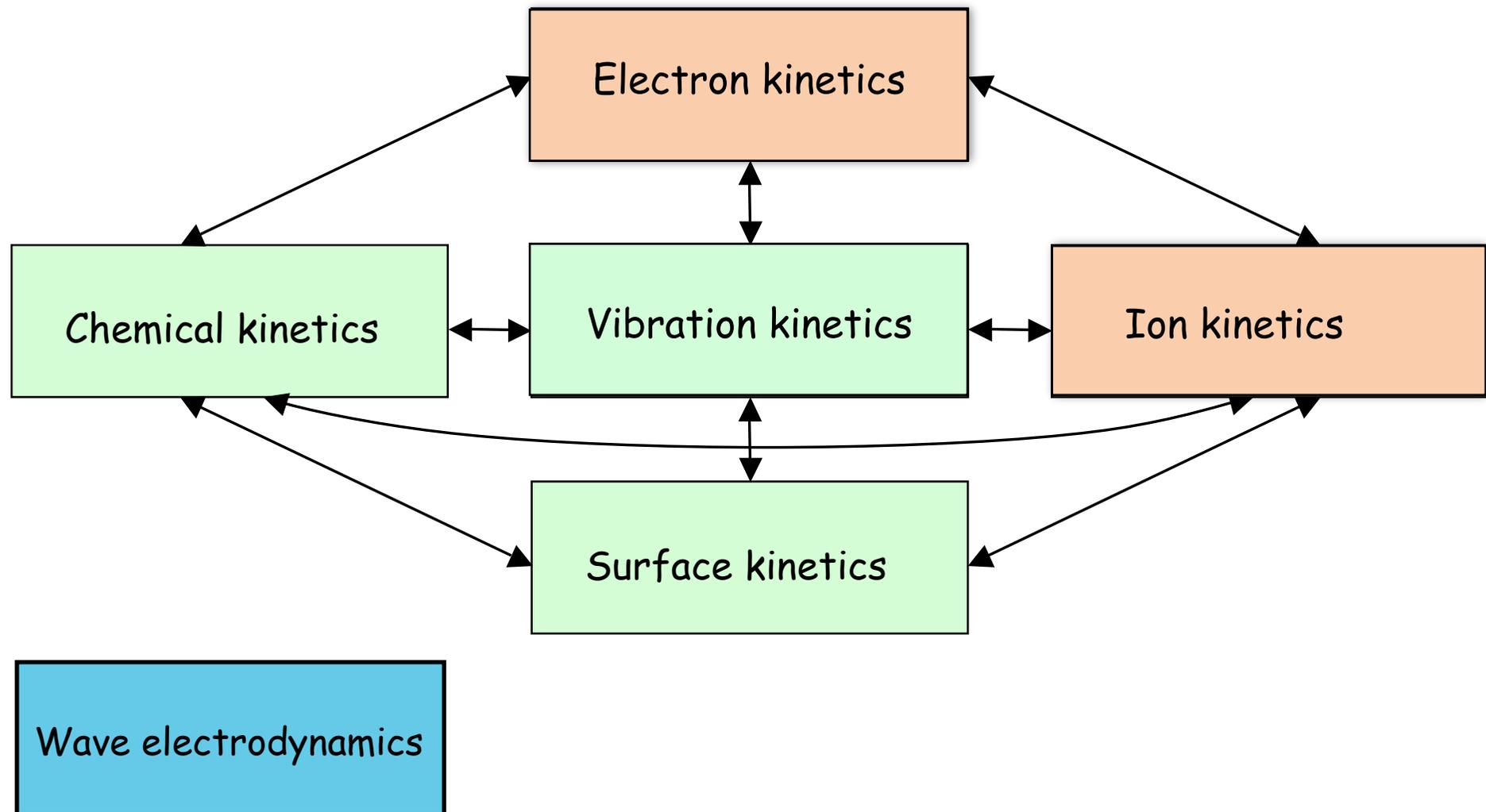
¹ IPFN, Instituto Superior Técnico, Universidade de Lisboa, Portugal

² LPGP, Université Paris Sud XI, Orsay, France

³ Ecole Centrale de Paris, France

Proceedings of the ESCAMPIG XXII, Greifswald, July 2014

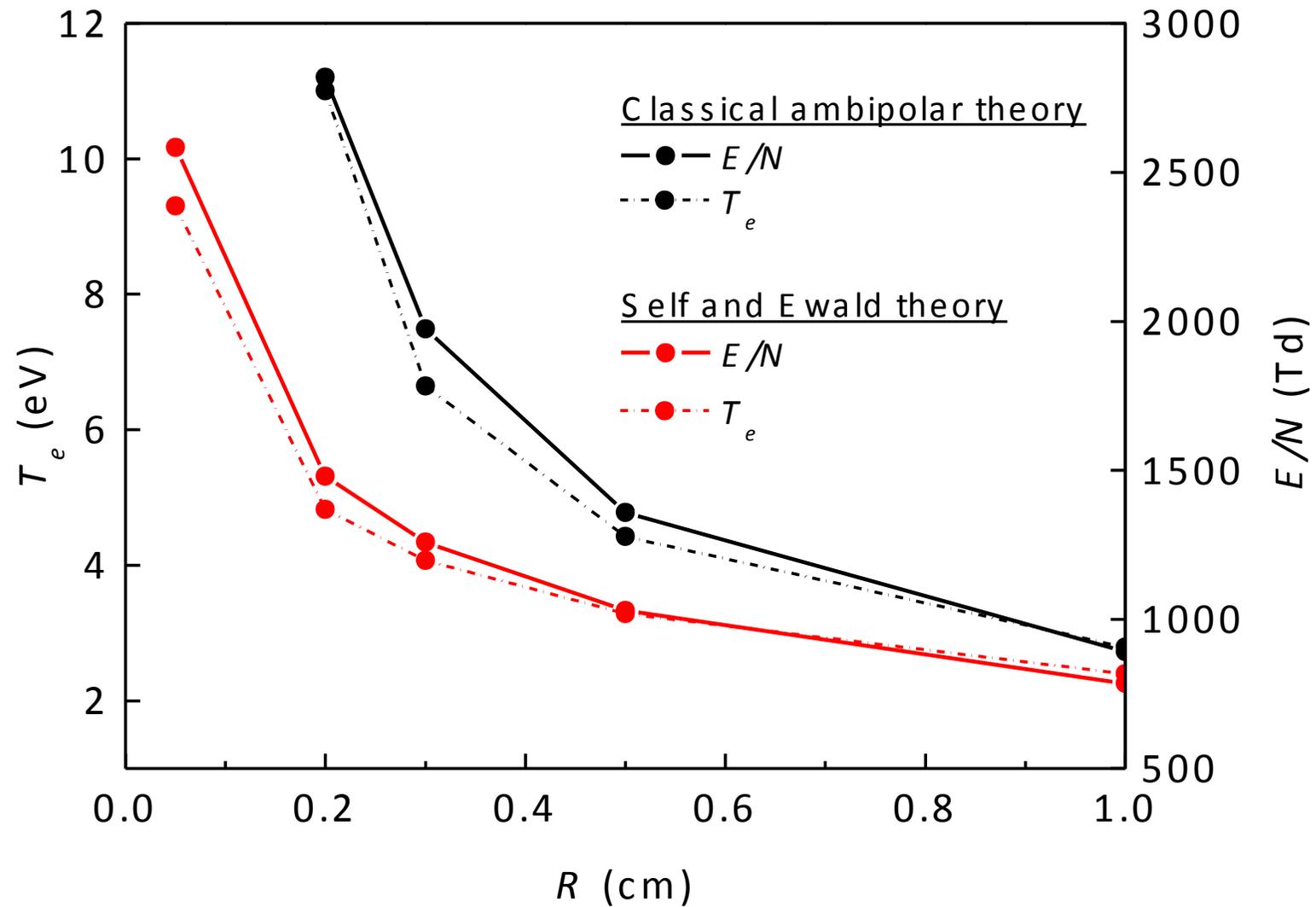
Surface waves in capillary tubes



Surface waves in capillary tubes

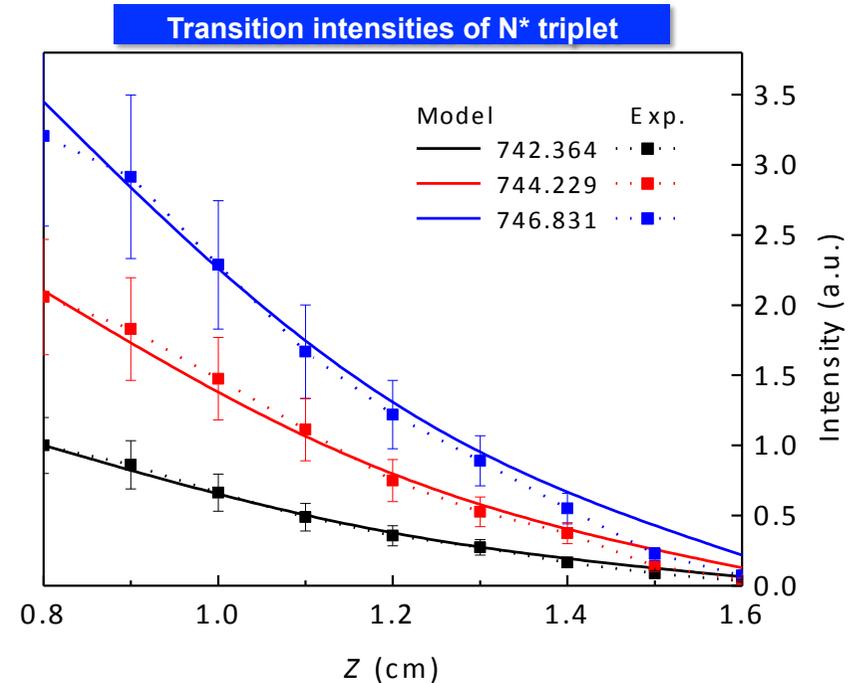
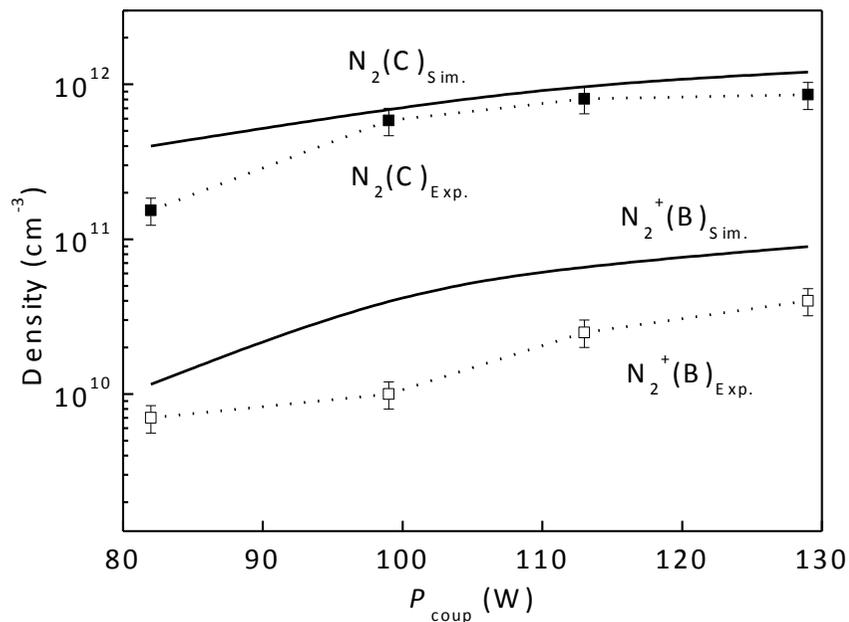
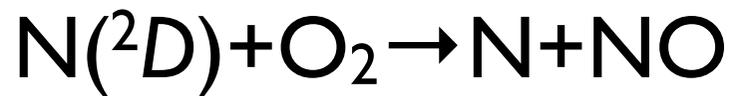
- $f=2.45$ GHz, $p=2$ Torr, $R=0.345$ mm
- The global behaviour is strongly dominated by electron impact processes and transport losses
 - ▶ Need for the Self & Ewald transport theory
- Heterogeneous atomic recombination is important

Surface waves in capillary tubes



Surface waves in capillary tubes

- NO kinetics:



Summary

- Self-consistent model for air validated for a wide range of operating conditions

Bottlenecks / challenges

- State-to-state reliable collisional data
[Shakhatov & Lebedev, *High Energy Chem.* 42 (2008) 170;
Annarita Laricchiuta, this Master class]
- T_g dependence of the rate coefficients
[$\text{N}_2(\text{X}, v \geq 13) + \text{O} \rightarrow \text{NO} + \text{N}$ at low T_g ,
Several data at high T_g (esther, <http://esther.ist.utl.pt/>)]

Summary

and upper atmospheric chemistry.⁵ Determining the role of $N_2(X,v)$ in these various processes is difficult, however, **due to $N_2(X,v)$'s diabolical resistance to detection.** A number of laser-based techniques for detecting $N_2(X,v)$ have been de-

L. G. Piper, *J. Chem. Phys.* **97** (1992) 270



Summary

- Breakthrough measurements
 - N₂ VDF [one measurement at $v=18$; Macko *et al*, *J. Phys. D: Appl. Phys* **34** (2001) 1807]
 - N₂ singlets
- Role of V-E energy exchanges
[Mário Lino da Silva & J. Loureiro, *ICPIG 2013 PSI-113*]
- Surface characteristics and surface processes
[Daniil Marinov, this master class]

Conferences @ Lisboa!

You just don't want to miss them! 😊

